

[KAIST Mechanical Engineering Department AI Seminar]  
**AI in Action - Biotechnology and Industrial Applications**

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Co-founder & CTO - AI Technology & Biz Dev @ **Erudio Bio, Inc.**

## About Speaker

- *Co-founder & CTO @ Erudio Bio, San Jose & Novato, CA, USA*
- Advisor & Evangelist @ CryptoLab, Inc., San Jose, CA, USA
- Chief Business Development Officer @ WeStory.ai, Cupertino, CA, USA
- Advisory Professor, Electrical Engineering and Computer Science @ DGIST, Korea
- Adjunct Professor, Electronic Engineering Department @ Sogang University, Korea
- Global Advisory Board Member @ Innovative Future Brain-Inspired Intelligence System Semiconductor of Sogang University, Korea
- *KFAS-Salzburg Global Leadership Initiative Fellow @ Salzburg Global Seminar, Salzburg, Austria*
- Technology Consultant @ Gerson Lehrman Group (GLG), NY, USA
- *Co-founder & CTO & Head of Global R&D & Chief Applied Scientist & Senior Fellow @ Gauss Labs, Inc., Palo Alto, CA, USA* 2020 – 2023

- Senior Applied Scientist @ Mobile Shopping Team, Amazon.com, Inc., Vancouver, BC, Canada – 2020
- Principal Engineer @ Software R&D Center of DS Division, Samsung, Korea – 2017
- Principal Engineer @ Strategic Marketing & Sales Team, Samsung, Korea – 2016
- Principal Engineer @ DT Team of DRAM Development Lab, Samsung, Korea – 2015
- Senior Engineer @ CAE Team - Samsung, Korea – 2012
- MS & PhD - Electrical Engineering @ Stanford University, CA, USA – 2004
- Development Engineer @ Voyan, Santa Clara, CA, USA – 2001
- BS - Electrical Engineering @ Seoul National University, Seoul, Korea – 1998

## Highlight of Career Journey

- BS in EE @ SNU, MS & PhD in EE @ Stanford University
  - *Convex Optimization - Theory, Algorithms & Software*
  - advised by *Prof. Stephen P. Boyd*
- Principal Engineer @ Samsung Semiconductor, Inc.
  - AI & Convex Optimization
  - collaboration with *DRAM/NAND Design/Manufacturing/Test Teams*
- Senior Applied Scientist @ Amazon.com, Inc.
  - e-Commerce AIs - time-series anomaly detection, deep reinforcement learning & recommender system
  - Jeff Bezos's project - increase sales by *\$200M* via Amazon Mobile Shopping App
- Co-founder & CTO & Head of Global R&D & Chief Applied Scientist & Senior Fellow @ Gauss Labs, Inc.
- Co-founder & CTO - AI Technology & Business Development @ Erudio Bio, Inc.

## Today

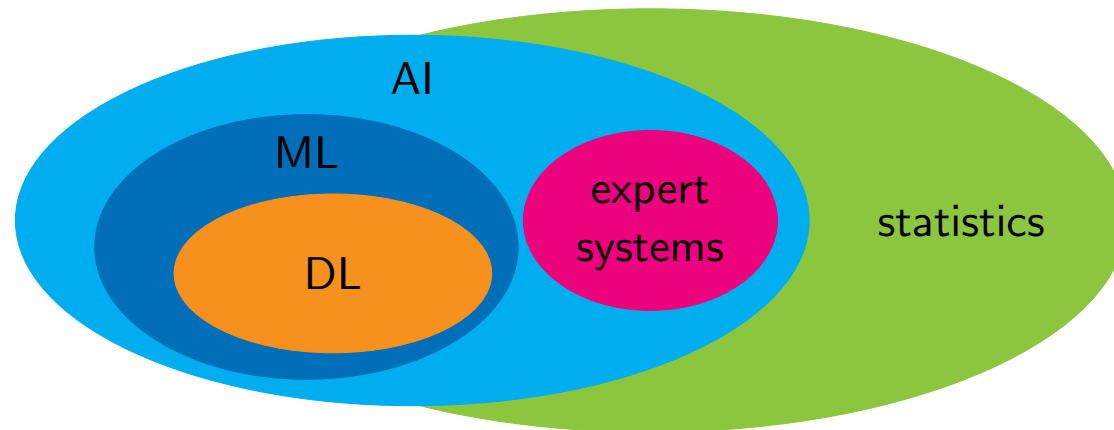
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# **Artificial Intelligence**

## **Definition and History**

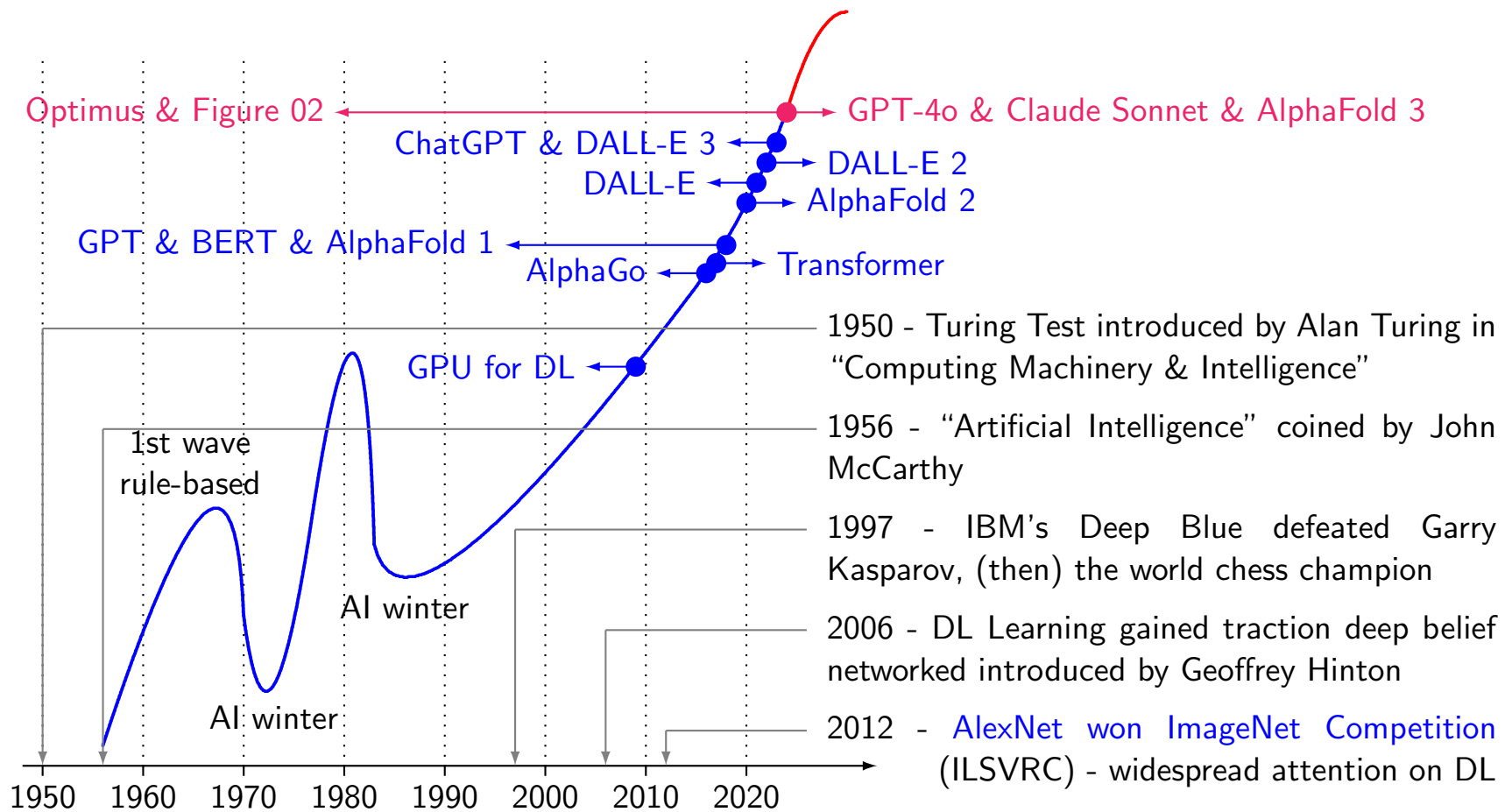
## Definition of AI

- AI is
  - technology enabling machines to do tasks requiring human intelligence, such as learning, problem-solving, decision-making & language understanding
  - *not* one thing - encompass range of technologies, methodologies & applications
- relationship of AI, statistics, ML, DL, NN & expert system [HGH<sup>+</sup>22]





# History of AI



# **Significant AI Achievements - 2014 – 2024**

## Deep learning revolution

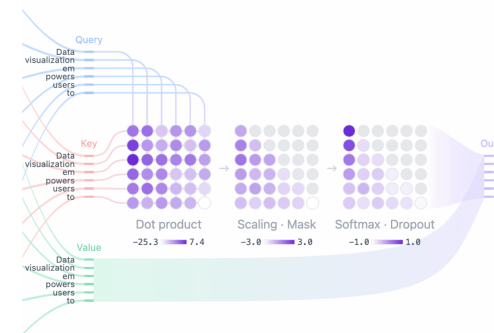
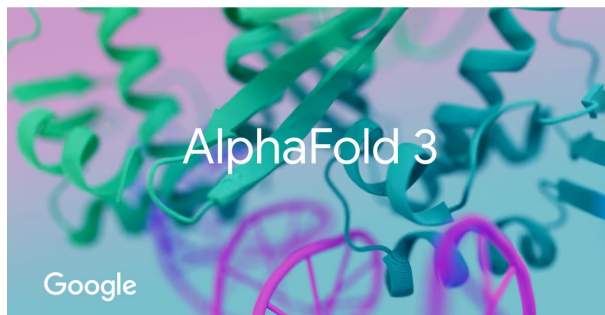
- 2012 – 2015 - DL revolution<sup>1</sup>
  - CNNs demonstrated exceptional performance in image recognition, *e.g.*, [AlexNet's victory in ImageNet competition](#)
  - widespread adoption of DL learning in CV transforming industries
- 2016 - AlphaGo defeats human Go champion
  - DeepMind's AlphaGo defeated world champion in Go, extremely complex game [believed to be beyond AI's reach](#)
  - significant milestone in RL - AI's potential in solving complex & strategic problems



<sup>1</sup>DL: deep learning, CNN: convolutional neural network, CV: computer vision, RL: reinforcement learning

## Transformer changes everything

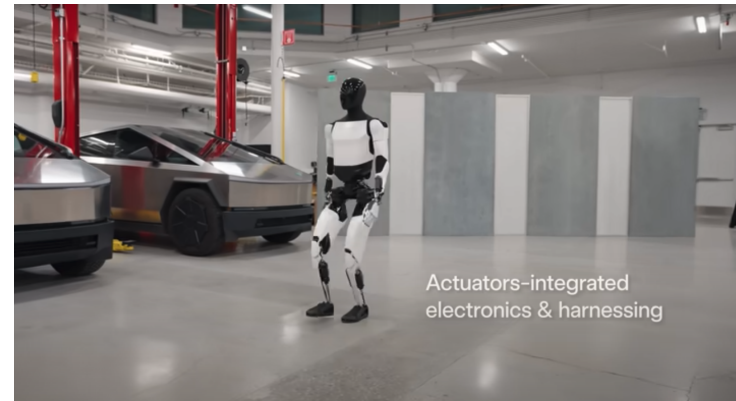
- 2017 – 2018 - Transformers & NLP breakthroughs<sup>2</sup>
  - *Transformer (e.g., BERT & GPT) revolutionized NLP*
  - major advancements in, e.g., machine translation & chatbots
- 2020 - AI in healthcare – AlphaFold & beyond
  - DeepMind's *AlphaFold solves 50-year-old protein folding problem* predicting 3D protein structures with remarkable accuracy
  - accelerates drug discovery and personalized medicine - offering new insights into diseases and potential treatments



<sup>2</sup>NLP: natural language processing, GPT: generative pre-trained transformer

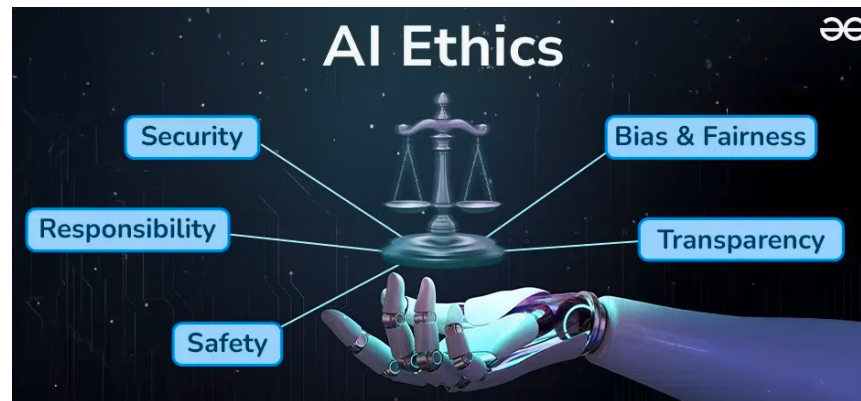
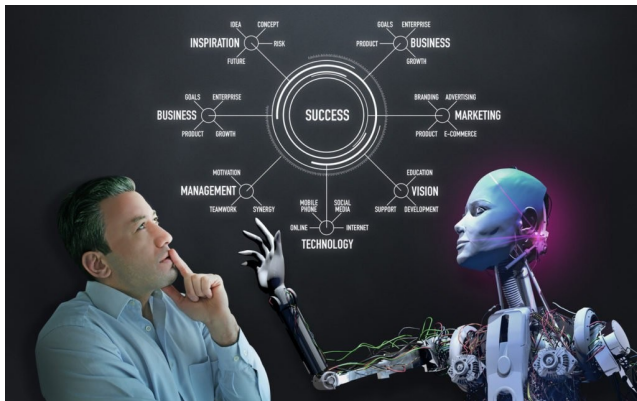
## Lots of breakthroughs in AI technology and applications in 2024

- proliferation of advanced AI models
  - GPT-4o, Claude Sonnet, Llama 3, Sora
  - *transforming industries* such as content creation, customer service, education, *etc.*
- breakthroughs in specialized AI applications
  - Figure 02, Optimus, AlphaFold 3
  - driving unprecedented advancements in automation, drug discovery, scientific understanding - *profoundly affecting healthcare, manufacturing, scientific research*



## Transformative impact of AI - reshaping industries, work & society

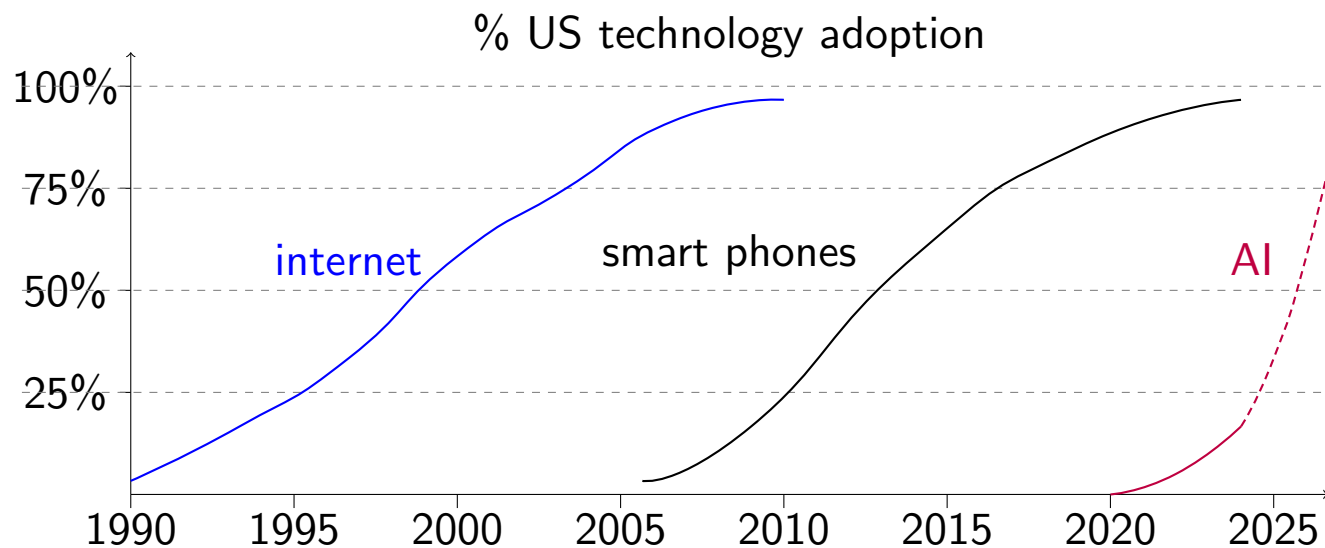
- accelerating human-AI collaboration
  - not only reshaping industries but *altering how humans interact with technology*
  - AI's role as collaborator and augmentor redefines productivity, creativity, the way we address global challenges, *e.g.*, *sustainability & healthcare*
- AI-driven automation *transforms workforce dynamics* - creating new opportunities while challenging traditional job roles
- *ethical AI considerations* becoming central not only to business strategy, but to society as a whole - *influencing regulations, corporate responsibility & public trust*



# Recent Advances in AI

## Where are we in AI today?

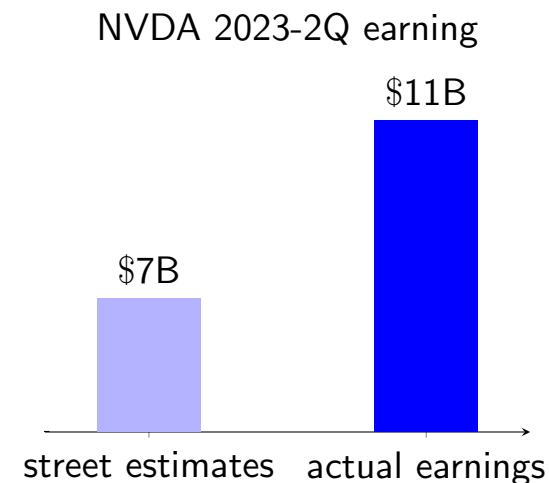
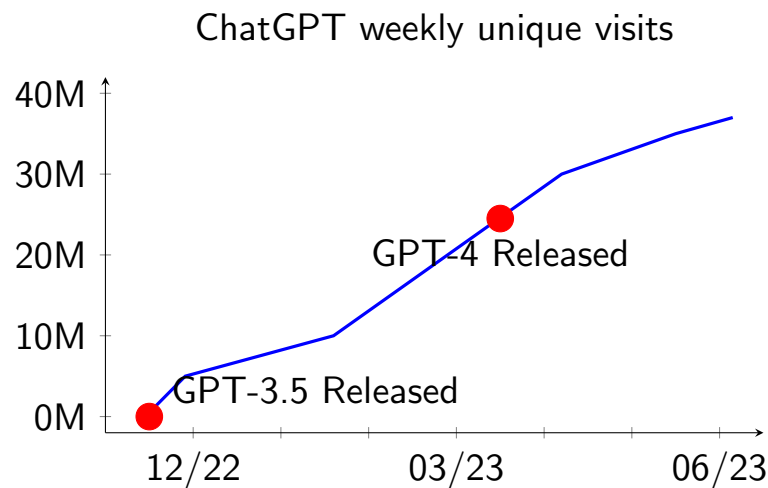
- sunrise phase - currently experiencing dawn of AI era with significant advancements and increasing adoption across various industries
- early adoption - in early stages of AI lifecycle with widespread adoption and innovation across sectors marking significant shift in technology's role in society





## Explosion of AI ecosystems - ChatGPT & NVIDIA

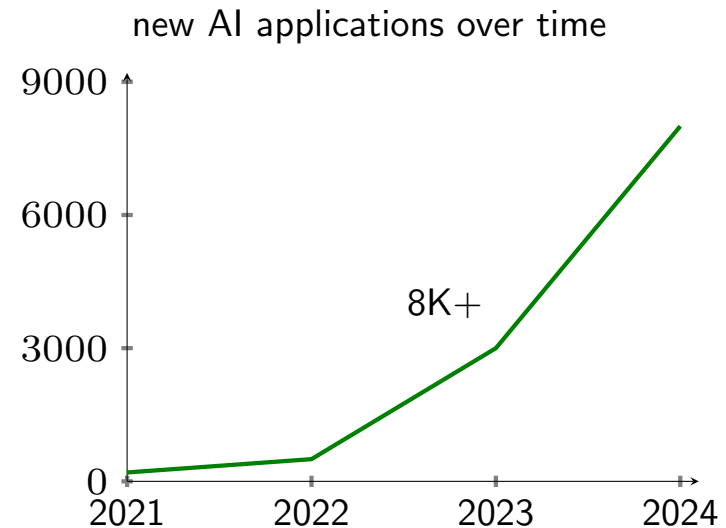
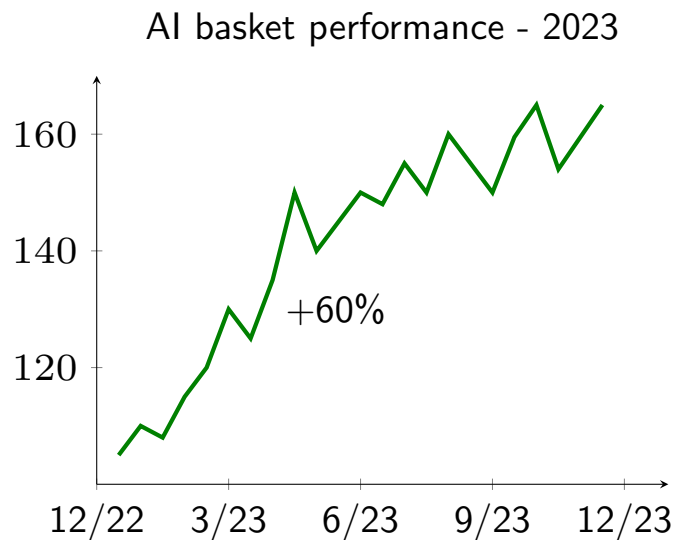
- took only *5 months for ChatGPT users to reach 35M*
- NVIDIA 2023 Q2 earning exceeds market expectation by big margin - \$7B vs \$13.5B
  - surprisingly, *101% year-to-year growth*
  - even more surprisingly *gross margin was 71.2%* - up from 43.5% in previous year<sup>3</sup>



<sup>3</sup>source - Bloomberg

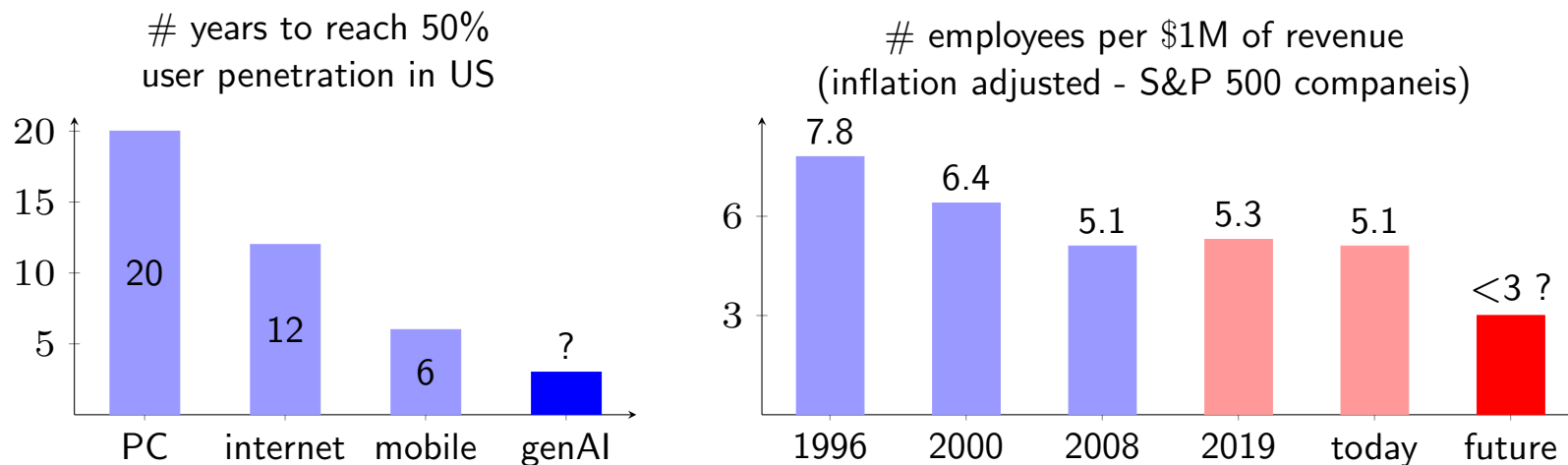
## Explosion of AI ecosystems - AI stock market

- *AI investment surge in 2023 - portfolio performance soars by 60%*
  - AI-focused stocks significantly outpaced traditional market indices
- *over 8,000 new AI applications* developed in last 3 years
  - applications span from healthcare and finance to manufacturing and entertainment



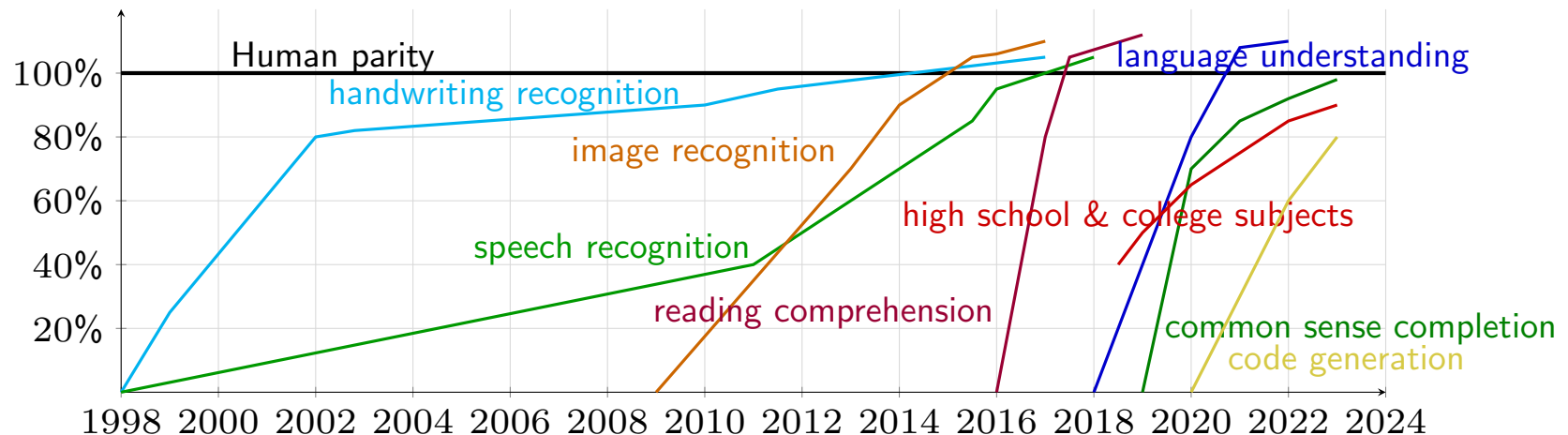
## AI's transformative impact - adoption speed & economic potential

- adoption - has been twice as fast with platform shifts suggesting
  - increasing demand and readiness for new technology improved user experience & accessibility
- AI's potential to drive economy for years to come
  - 35% improvement in productivity driven by introduction of PCs and internet
  - greater gains expected with AI proliferation



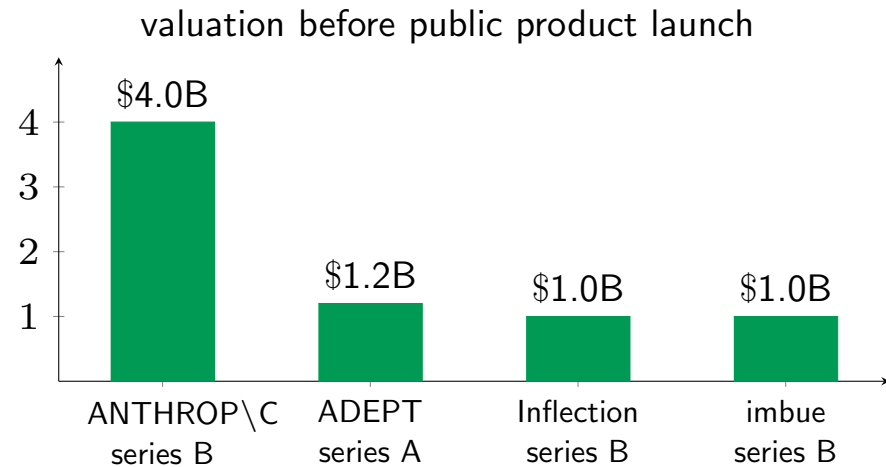
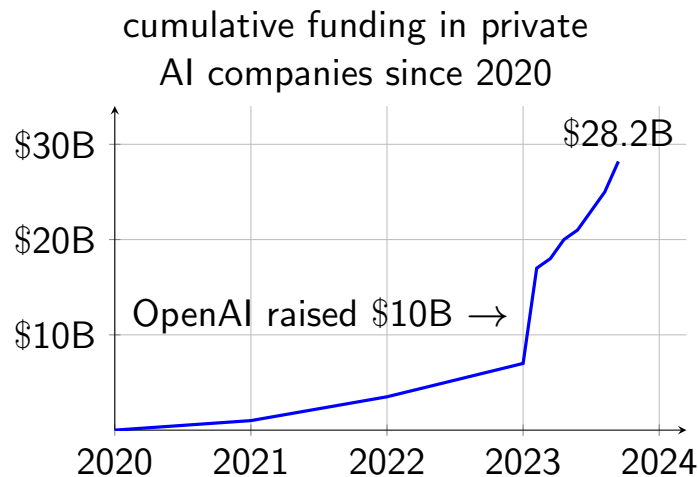
## AI getting more & more faster

- steep upward slopes of AI capabilities highlight accelerating pace of AI development
  - period of exponential growth with AI potentially mastering new skills and surpassing human capabilities at ever-increasing rate
- closing gap to human parity - some capabilities approaching or arguably reached human parity, while others having still way to go
  - achieving truly human-like capabilities in broad range remains a challenge



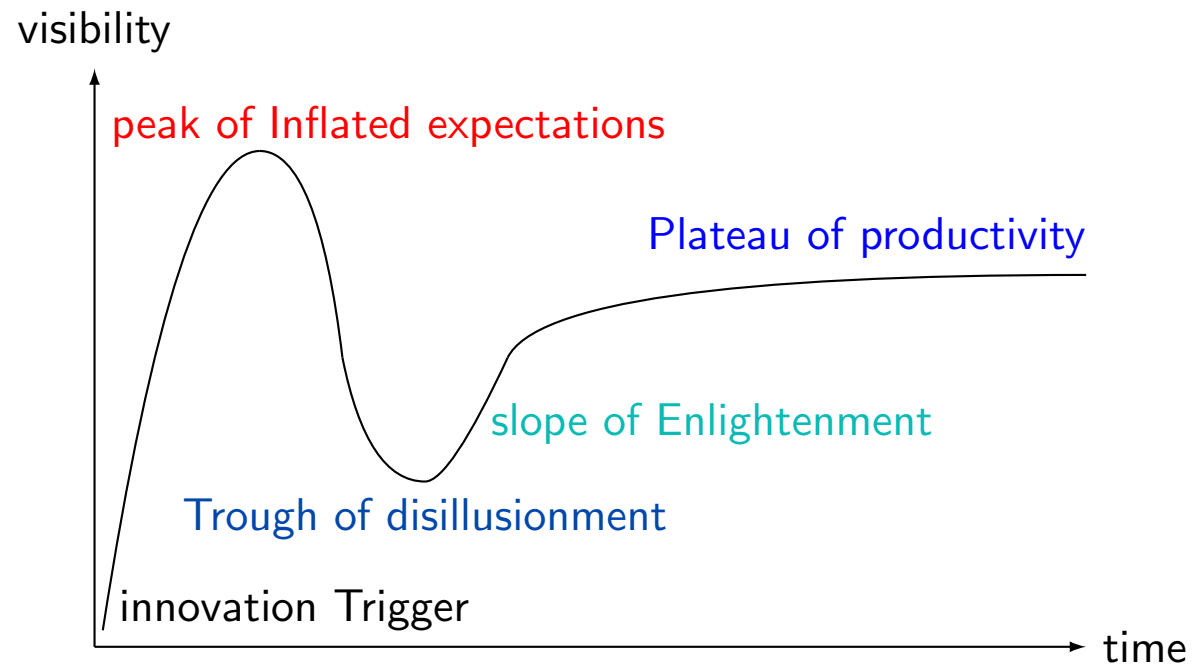
## Massive investment in AI

- *explosive growth* - cumulative funding skyrocketed reaching staggering \$28.2B
- OpenAI - significant fundraising (= \$10B) fueled rapid growth
- *valuation surge* - substantial valuations even before public products for stellar companies
- *fierce competition for capital* among AI startups driving innovation & accelerating development
- massive investment indicates *strong belief in & optimistic outlook for potential of AI* to revolutionize industries & drive economic growth



**Is AI hype?**

## Technology hype cycle



- innovation trigger - technology breakthrough kicks things off
- peak of inflated expectations - early publicity induces many successes followed by even more
- trough of disillusionment - expectations wane as technology producers shake out or fail
- slope of enlightenment - benefit enterprise, technology better understood, more enterprises fund pilots

## Fiber vs cloud infrastructure

- fiber infrastructure - 1990s
  - Telco Co's raised \$1.6T of equity & \$600B of debt
  - bandwidth costs decreased 90% within 4 years
  - companies - Covage, NothStart, Telligent, Electric Lightwave, 360 networks, Nextlink, Broadwind, UUNET, NFS Communications, Global Crossing, Level 3 Communications
  - became *public good*
- cloud infrastructure - 2010s
  - entirely new computing paradigm
  - mostly public companies with data centers
  - *big 4 hyperscalers generate* \$150B + annual revenue





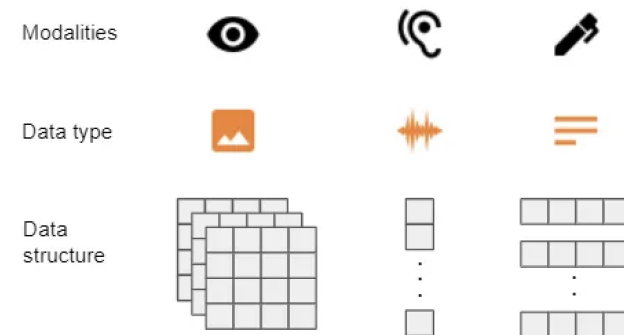
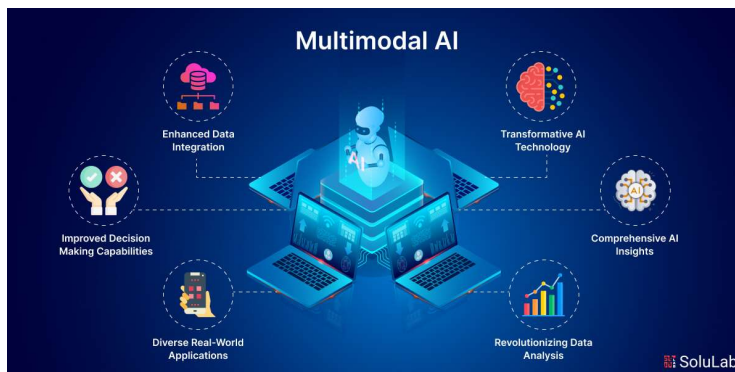
## Yes & No

characteristics of hype cycles	speaker's views
value accrual misaligned with investment	<ul style="list-style-type: none"><li>● OpenAI still operating at a loss; business model <i>still</i> not clear</li><li>● gradual value creation across broad range of industries and technologies (<i>e.g.</i>, CV, LLMs, RL) unlike fiber optic bubble in 1990s</li></ul>
overestimating timeline & capabilities of technology	<ul style="list-style-type: none"><li>● self-driving cars delayed for over 15 years, with limited hope for achieving level 5 autonomy</li><li>● AI, however, has proven useful within a shorter 5-year span, with enterprises eagerly adopting</li></ul>
lack of widespread utility due to technology maturity	<ul style="list-style-type: none"><li>● AI already providing significant utility across various domains</li><li>● vs quantum computing remains promising in theory but lacks widespread practical utility</li></ul>

# Multimodal AI Agents

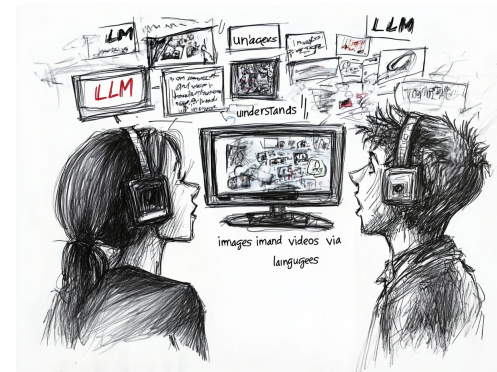
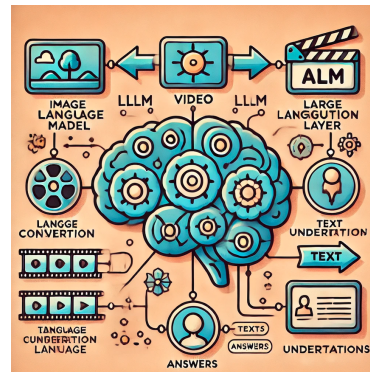
# Multimodal learning

- understand information from multiple modalities, *e.g.*, text, images, audio, video
- representation learning methods
  - combine two representations or learn multimodal representations simultaneously
- applications
  - images from text prompt, videos with narration, musics with lyrics
- collaboration among different modalities
  - understand image world (open system) using language (closed system)



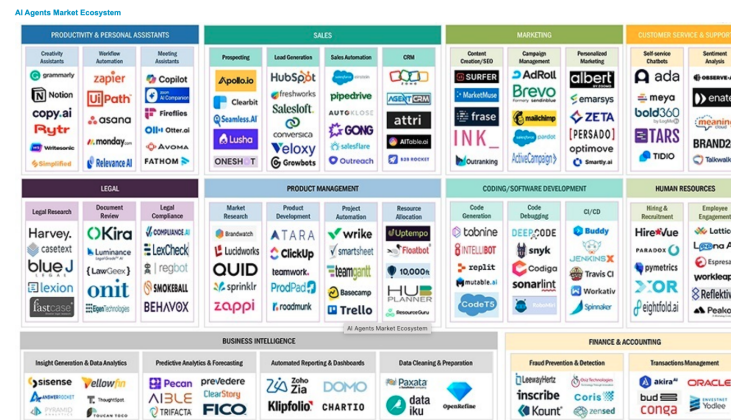
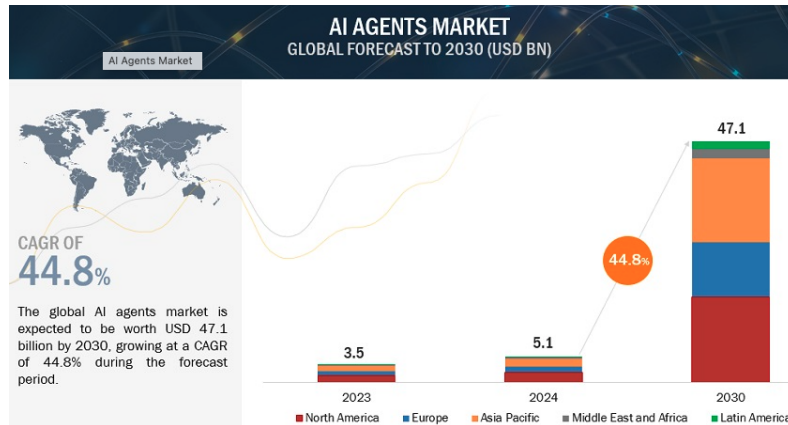
## Implications of success of LLMs

- many researchers change gears towards LLM
  - from computer vision (CV), speech, music, video, even reinforcement learning
- *LLM is not only about NLP . . .* humans have . . .
  - evolved and optimized natural language structures for eons
  - handed down knowledge using this natural languages for thousands of years
  - (internal structure or representation of) natural language optimized via evolution through *thousands of generation by evolution*
- LLM *connects non-linguistic world (open system) via languages (closed system)*



# Multimodal AI (mmAI) - definition & history

- mmAI - systems processing & integrating data from multiple sources & modalities, to generate unified response / decision
- 1990s – 2000s - early systems - initial research combining basic text & image data
- 2010s - CNNs & RNNs enabling more sophisticated handling of multimodality
- 2020s - modern multimodal models - Transformer-based architectures handling complex multi-source data at highly advanced level
- mmAI *mimics human cognitive ability* to interpret and integrate information from various sources, leading to holistic decision-making

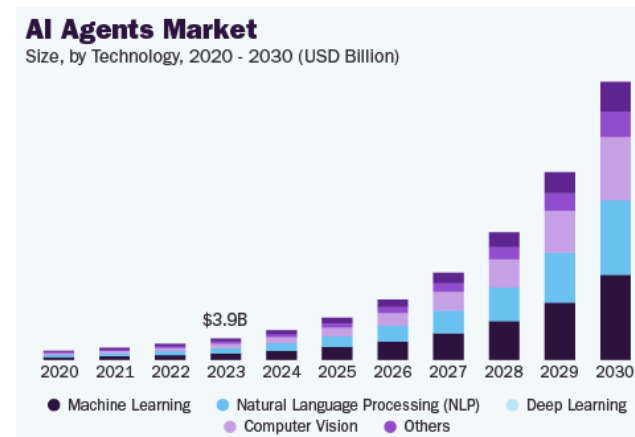
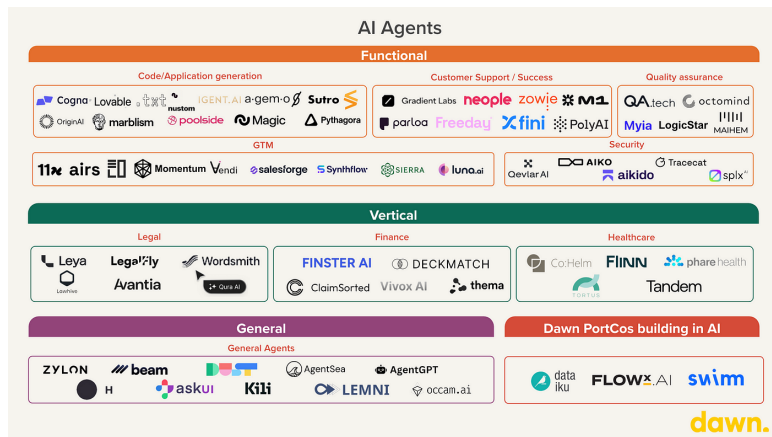


# mmAI Technology

- core components
  - data preprocessing - images, text, audio & video
  - architectures - unified Transformer-based (*e.g.*, ViT) & cross-attention mechanisms / hybrid architectures (*e.g.*, CNNs + LLMs)
  - integration layers - fusion methods for combining data representations from different modalities
- technical challenges
  - data alignment - accurate alignment of multimodal data
  - computational demand - high-resource requirements for training and inferencing
  - diverse data quality - manage variations in data quality across modalities
- advancements
  - multimodal embeddings - shared feature spaces interaction between modalities
  - self-supervised learning - leverage unlabeled data to learn representations across modalities

# AI agents powered by multimodal LLMs

- foundation
  - integrate multimodal AI capabilities for enhanced interaction & decision-making
- components
  - perceive environment through multiple modalities (visual, audio, text), process using LLM technology, generate contextual responses & take actions
- capabilities
  - understand complex environments, reason across modalities, engage in natural interactions, adapt behavior based on context & feedback



## AI agents - Present & Future

- emerging applications
  - scientific research - agents analyzing & running experiments & generating hypotheses
  - creative collaboration - AI partners in design & art combining multiple mediums
  - environmental monitoring - processing satellite sensor data for climate analysis
  - healthcare - enhanced diagnostic combining imaging, *e.g.*, MRI, with patient history
  - customer experience - virtual assistants understanding spoken language & visual cues
  - autonomous vehicles - integration of visual, radar & audio data
- future
  - ubiquitous AI agents - seamless integration into everyday devices
  - highly tailored personalized experience - in education, entertainment & healthcare





# AI & Biotech

## AI in biology

- AI has been used in biological sciences, and science in general
- AI's ability to process large amounts of raw, unstructured data (*e.g.*, DNA sequence data)
  - reduces time and cost to conduct experiments in biology
  - enables others types of experiments that previously were unattainable
  - contributes to broader field of engineering biology or biotechnology
- AI increases human ability to make direct changes at cellular level and create novel genetic material (*e.g.*, DNA and RNA) to obtain specific functions.

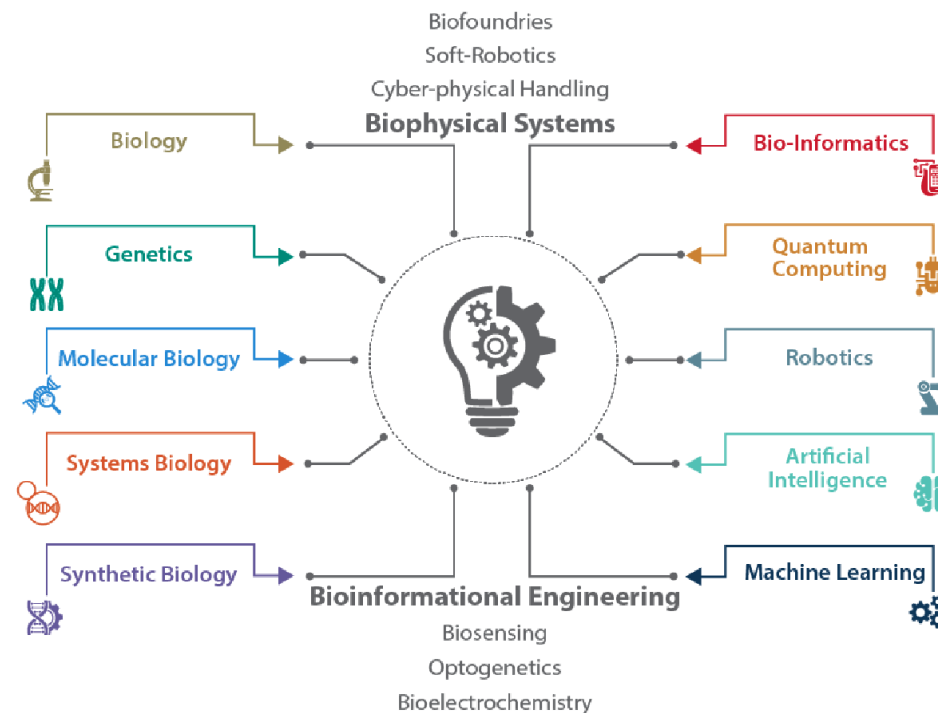
**Biotech**

## Biotech

- biotechnology
  - is multidisciplinary field leveraging broad set of sciences and technologies
  - relies on and builds upon advances in other fields such as nanotechnology & robotics, and, increasingly, AI
  - enables researchers to read and write DNA
    - sequencing technologies “read” DNA while gene synthesis technologies takes sequence data and “write” DNA turning data into physical material
- 2018 National Defense Strategy & senior US defense and intelligence officials identified emerging technologies that could have disruptive impact on US national security [[Say21](#)]
  - artificial intelligence, lethal autonomous weapons, hypersonic weapons, directed energy weapons, *biotechnology*, quantum technology
- other names for biotechnology are engineering biology, synthetic biology, biological science (when discussed in context of AI)

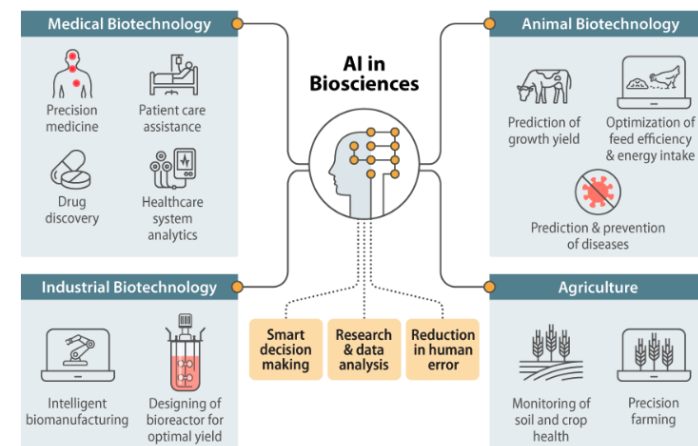
## biotech - multidisciplinary field

- sciences and technologies enabling biotechnology include, but not limited to,
  - (molecular) biology, genetics, systems biology, synthetic biology, bio-informatics, quantum computing, robotics [DFJ22]



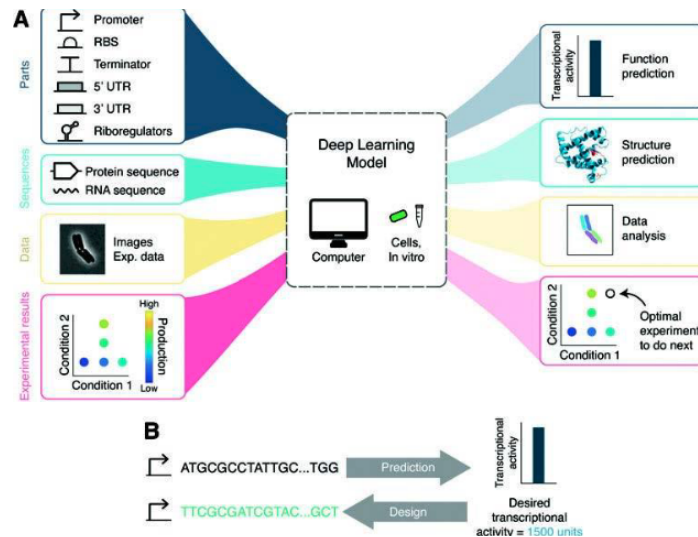
## Convergence of AI and biological design

- both AI & biological sciences increasingly converging [BKP22]
  - each building upon the other’s capabilities for new research and development across multiple areas
- Demo Hassabis, CEO & cofounder of DeepMind, said of biology [Toe23]
  - “ . . . biology can be thought of as information processing system, albeit extraordinarily complex and dynamic one . . . just as mathematics turned out to be the right description language for physics, biology may turn out to be *the perfect type of regime for the application of AI!*”
- Both AI & biotech rely on and build upon advances in other scientific disciplines and technology fields, such as nanotechnology, robotics, and increasingly big data (e.g., genetic sequence data)
  - each of these fields itself convergence of multiple sciences and technologies
- so *their impacts can combine to create new capabilities*



# Multi-source genetic sequence data

- AI is essential to analyzing exponential growth of genetic sequence data
  - “AI will be essential to fully understanding how genetic code interacts with biological processes”
  - US National Security Commission on Artificial Intelligence (NSCAI)
- process huge amounts of biological data, *e.g.*, genetic sequence data, coming from different biological sources for understanding complex biological systems
  - sequence data, molecular structure data, image data, time-series, omics data
- *e.g.*, analyze genomic data sets to determine the genetic basis of particular trait and potentially uncover genetic markers linked with that trait



## Quality & quantity of biological data

- limiting factor, however, is quality and quantity of the biological data, *e.g.*, DNA sequences, that AI is trained on
  - *e.g.*, accurate identification of particular species based on DNA requires reference sequences of *sufficient quality* to exist and be available
- databases have varying standards - access, type and quality of information
- design, management, quality standards, and data protocols for reference databases can affect utility of particular DNA sequence



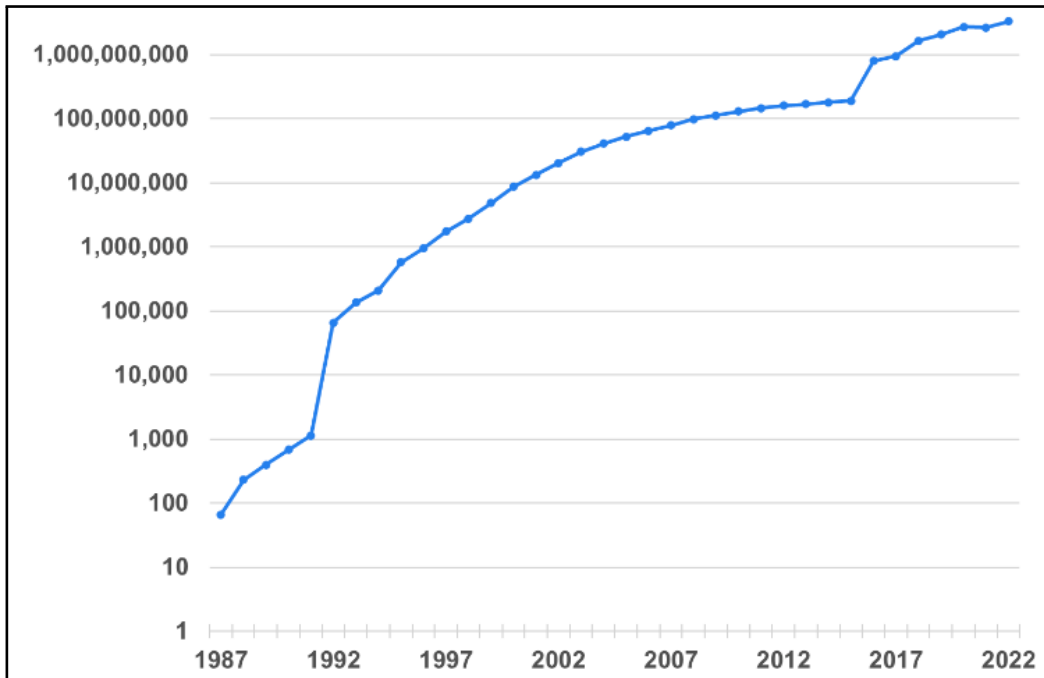
## Rapid growth of biological data

- volume of genetic sequence data grown exponentially as sequencing technology has evolved
- more than 1,700 databases incorporating data on genomics, protein sequences, protein structures, plants, metabolic pathways, *etc.*, *e.g.*
  - open-source public database
    - Protein Data Bank, US-funded data center, contains more than *terabyte of three-dimensional structure data* for biological molecules, including proteins, DNA, and RNA
  - proprietary database
    - Gingko Bioworks - possesses more than *2B protein sequences*
  - public research groups
    - Broad Institute - produces roughly *500 terabases of genomic data per month*
- great potential value in aggregate volume of genetic datasets that can be collectively mined to discover and characterize relationships among genes

## Volume and sequencing cost of DNA over time

- volume of DNA sequences & DNA sequencing cost
  - data source: National Human Genome Research Institute (NHGRI) [Wet23] & International Nucleotide Sequence Database Collaboration (INSDC)

# sequences in INSDC



DNA sequencing cost



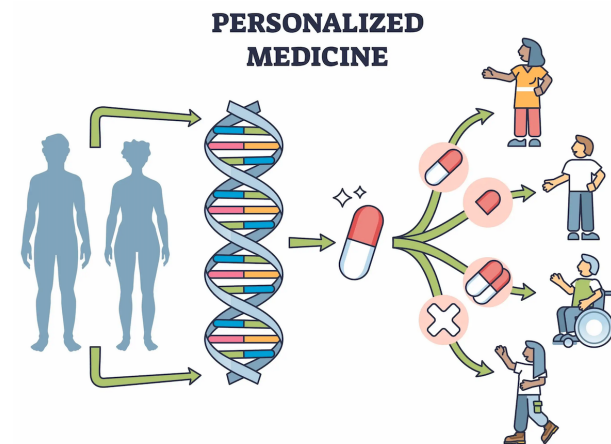
## Bio data availability and bias

- US National Security Commission on Artificial Intelligence (NSCAI) recommends
  - US fund and prioritize development of a biobank containing *“wide range of high-quality biological and genetic data sets securely accessible by researchers”*
  - establishment of database of broad range of human, animal, and plant genomes would
    - *enhance and democratize biotechnology innovations*
    - *facilitate new levels of AI-enabled analysis of genetic data*
- bias - availability of genetic data & decisions about selection of genetic data can introduce bias, *e.g.*
  - training AI model on datasets emphasizing or omitting certain genetic traits can affect how information is used and types of applications developed - *potentially privileging or disadvantaging certain populations*
  - access to data and to AI models themselves may impact communities of differing socioeconomic status or other factors unequally

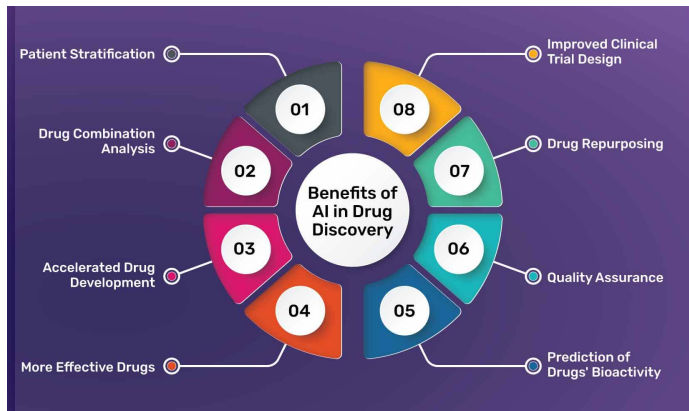
# Emerging Trends in Biotech

## Personalized medicine

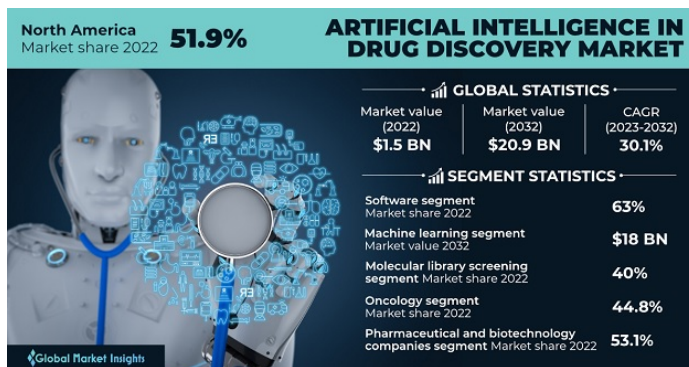
- *shift from one-size-fits-all approach to tailored treatments*
- based on individual genetic profiles, lifestyles & environments
- AI enables analysis of vast data to predict patient responses to treatments, thus enhancing efficacy and reducing adverse effects
- *e.g.*, custom cancer therapies, personalized treatment plans for rare diseases & precision pharmacogenomics.
- companies - Tempus, Foundation Medicine, *etc.*



## AI-driven drug discovery

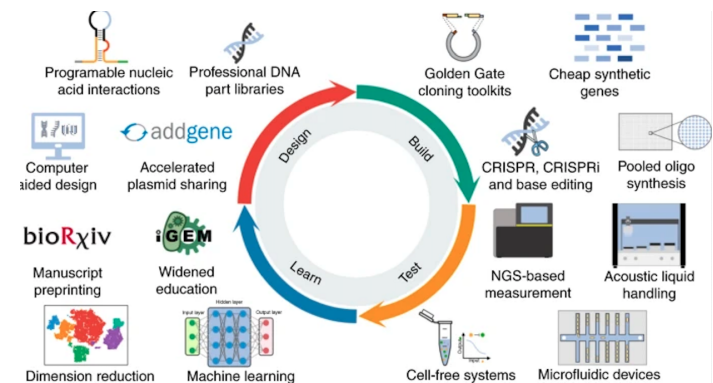
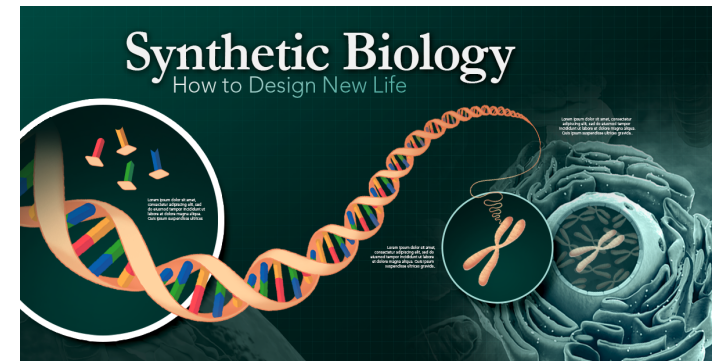


- traditional drug discovery process - time-consuming and costly often taking decades and billions of dollars
- AI streamlines this process by predicting the efficacy and safety of potential compounds with more speed and accuracy
- AI models analyze chemical databases to identify new drug candidates or repurpose existing drugs for new therapeutic uses
- companies - Insilco Medicine, Atomwise.

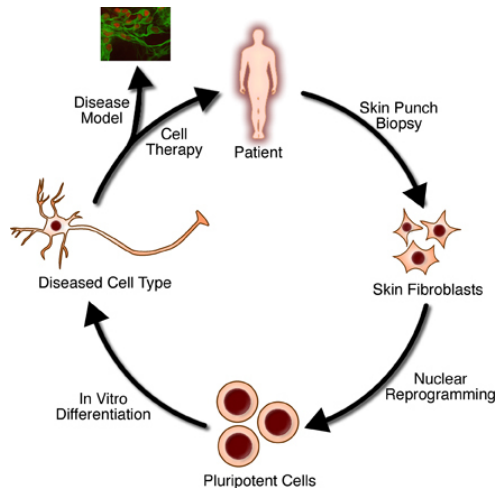
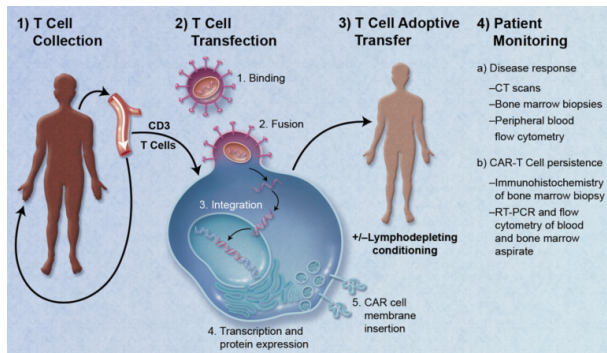


## Synthetic biology

- use AI for gene editing, biomaterial production and synthetic pathways
- combine principles of biology and engineering to design and construct new biological entities
- AI optimizes synthetic biology processes from designing genetic circuits to scaling up production
- company - Ginkgo Bioworks uses AI to design custom microorganisms for applications ranging from pharmaceuticals to industrial chemicals



# Regenerative medicine

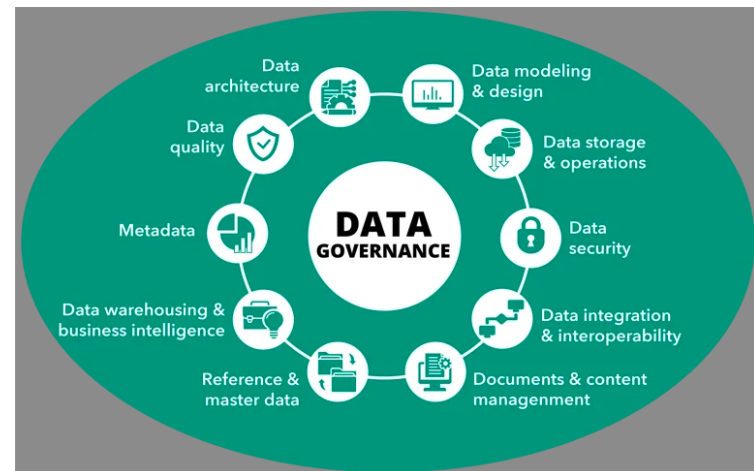


- AI advances development of stem cell therapies & tissue engineering
- AI algorithms assist in identifying optimal cell types, predicting cell behavior & personalized treatments
- particularly for conditions such as neurodegenerative diseases, heart failure and orthopedic injuries
- company - Organovo leverages AI to potentially improve the efficacy and scalability of regenerative therapies, developing next-generation treatments

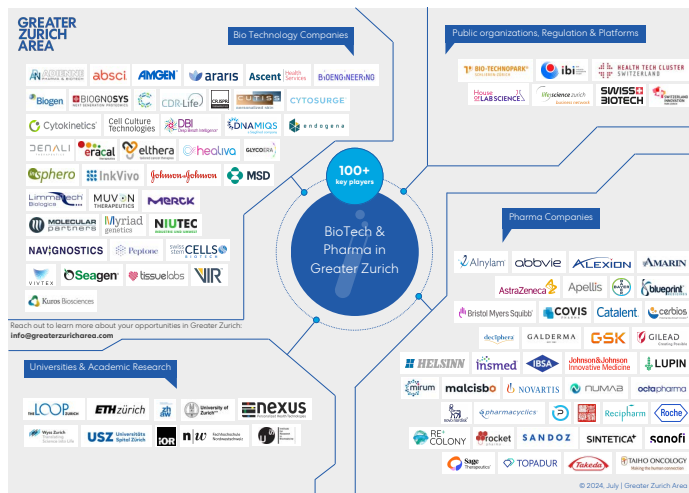


## Bio data integration

- integration of disparate data sources, including genomic, proteomic & clinical data - one of biggest challenges in biotech & healthcare
- AI delivers meaningful insights *only when* seamless data integration and interoperability realized
- developing platforms facilitating comprehensive, longitudinal patient data analysis - vital enablers of AI in biotech
- company - Flatiron Health working on integrating diverse datasets to provide holistic view of patient health



## Biotech companies



- Atomwise - small molecule drug discovery
- Cradle - protein design
- Exscientia - precision medicine
- Iktos - small molecule drug discovery and design
- Insilico Medicine - full-stack drug discovery system
- Schrödinger, Inc. - use physics-based models to find best possible molecule
- Absci Corporation - antibody design, creating new from scratch antibodies, *i.e.*, “de novo antibodies”, and testing them in laboratories

# Industrial AI

## Industrial AI (inAI)

- inAI (collectively) refers to AI technology & software and their products developed for
  - *customer values creation, productivity improvement, cost reduction, production optimization, predictive analysis, insight discovery*in industries such as
  - *semiconductor, steel, oil & gas, cement, and other various manufacturing industries*(unlike general AI, which is frontier research discipline striving to achieve human-level intelligence)



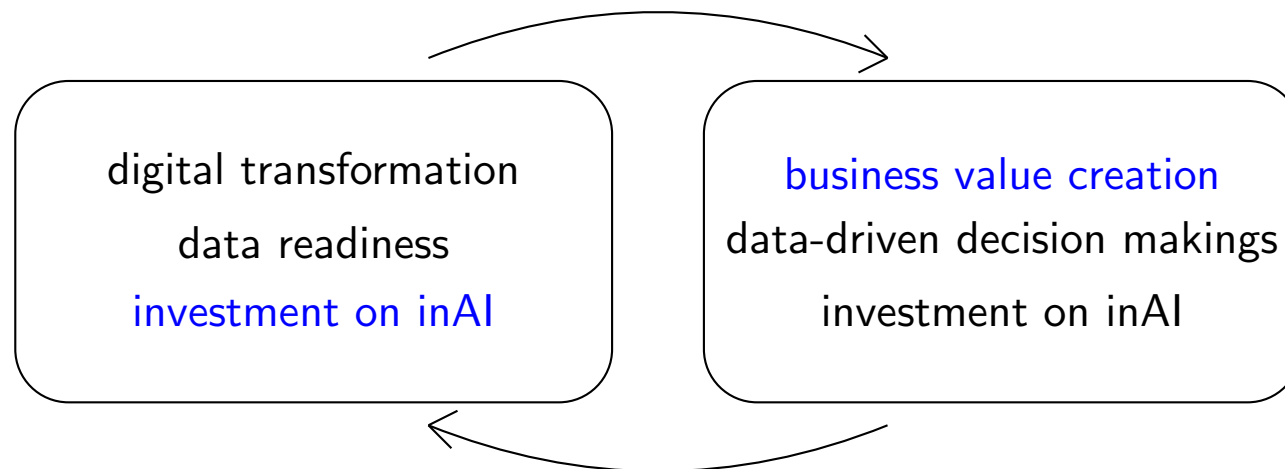
## inAI fields

- product
  - product design & innovation, adaptability & advancement, product quality & validation, design for reusability & recyclability, performance optimization
- production process
  - *production quality*, process management, inter-process relations, process routing & scheduling, process design & innovation, *traceability*, *predictive process control*
- machinery & equipment
  - *predictive maintenance*, *monitoring & diagnosis*, component development, *ramp-up optimization*, material consumption prediction
- supply chain
  - supply chain monitoring, material requirements planning, customer management, supplier management, logistics, reusability & recyclability

## **Characteristics of inAI**

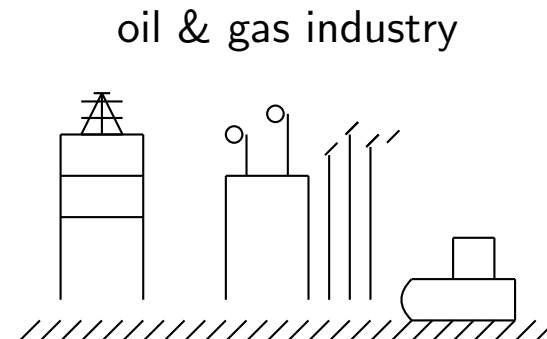
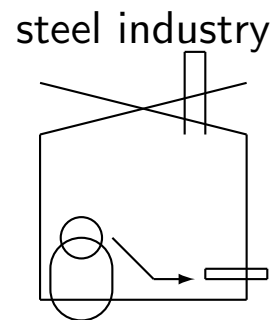
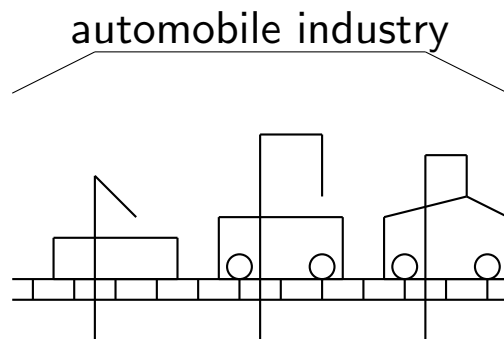
## Vicious (or virtuous) cycle

- integration of inAI with customers' business creates monetary values and encourages data-driven decisions
- however, to do so, digital transformation with data-readiness is MUST-have
- created values, in turn, can be invested into infrastructure required for digital transformation and success of inAI!



## Data-centric AI

- unlike many ML disciplines where foundation models do generic representation learning, *i.e.*, learn universal features
- each equipment has (gradually) different data characteristics, hence need data-centric AI
  - “ . . . need 1,000 models for 1,000 problems” - Andrew Ng
  - data-centric AI - discipline of systematically engineering the data used to build AI system





## Challenging data characteristics

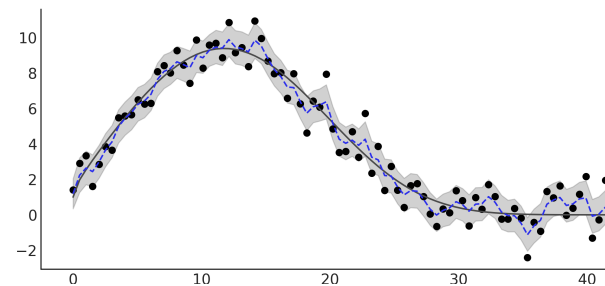
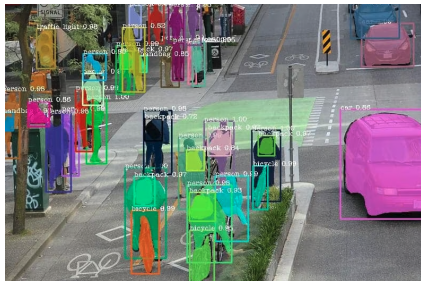
- huge volume
- data multi-modality
- high velocity requirement
- very fat data
- sever data shift & drift (in many cases)
- label imbalance
- data quality



# **Manufacturing AI**

## MLs in manufacturing AI (manAI)

- *image data* - huge amount of image data measured and inspected
  - SEM/TEM images, wafer defect maps, test failure pattern maps<sup>4</sup>
  - semantic segmentation, defect inspection, anomaly detection
- *time-series (TS) data* - *all the data* coming out of manufacturing is TS
  - equipment sensor data, process times, various measurements, MES data<sup>5</sup>
  - regression, anomaly detection, semi-supervised learning, Bayesian inference



<sup>4</sup>SEM: scanning electron microscope, TEM: transmission electron microscope

<sup>5</sup>MES: manufacturing execution system

**CV ML in manAI**

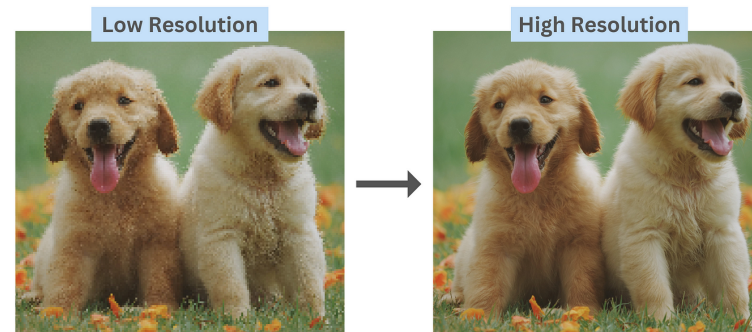
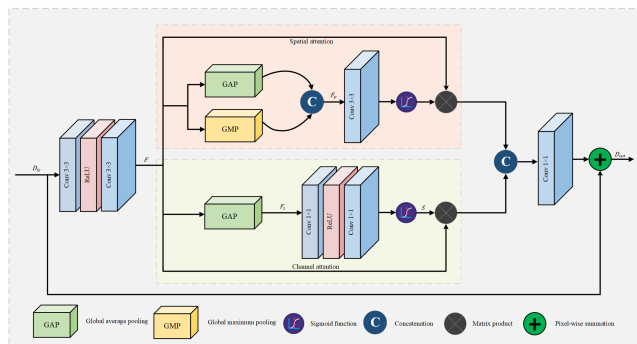
## Computer vision ML in manAI

- measurement and inspection (MI)
  - metrology - measurement of critical features
  - inspection - defect inspection, defect localization, defect classification
  - failure pattern analysis
- applications
  - automatic feature measurement
  - anomaly detection
  - defect inspection



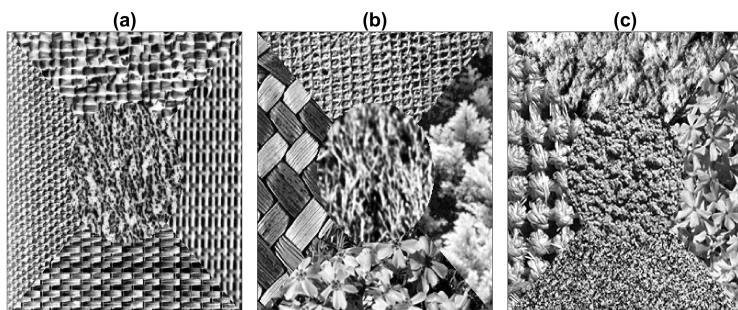
# Image enhancement

- image enhancement techniques
  - general supervised denoising using DL
  - blind denoising using DL - remove noise without prior knowledge of noise adapting to various noise types
  - super-resolution - upscale low-resolution images, add realistic details for sharper & higher-quality images



## Image segmentation

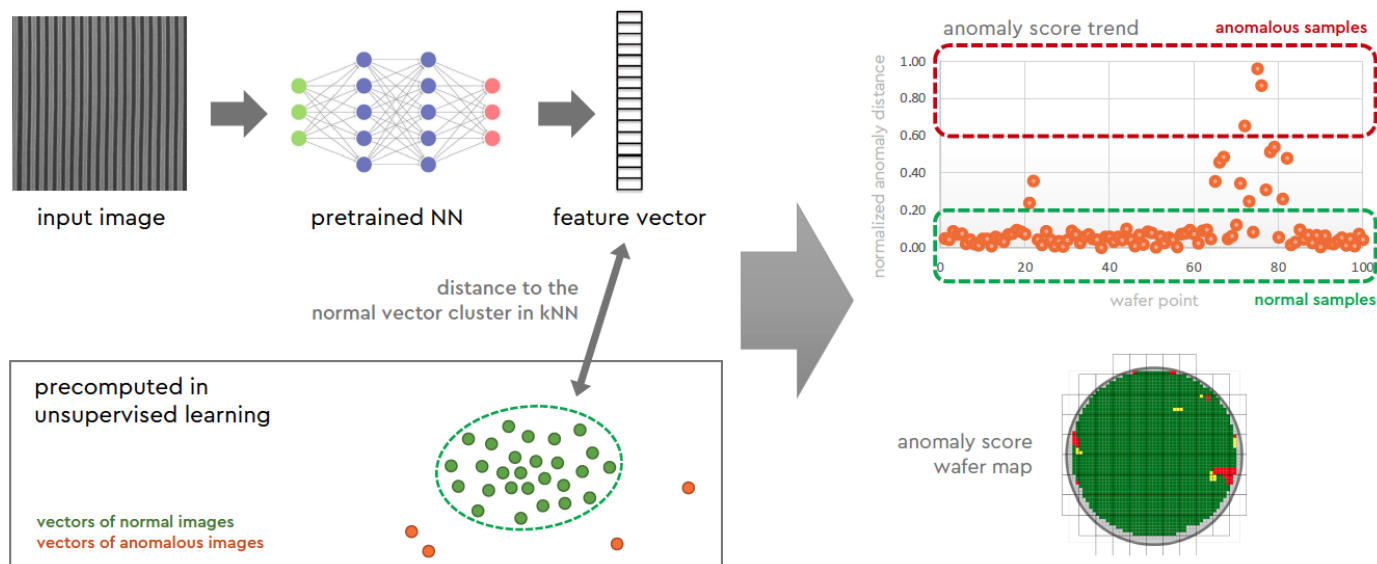
- texture segmentation
  - distinguish areas based on texture patterns - identifying regions with similar textural features - used for material classification, surface defect detection, medical imaging
  - methods - Gabor filters, wavelet transforms, DL
- semantic segmentation
  - assign class labels to every pixel - enabling precise object and region identification - used for autonomous driving, scene understanding, medical diagnostics
  - methods - fully convolutional network (FCN), U-net, DeepLab





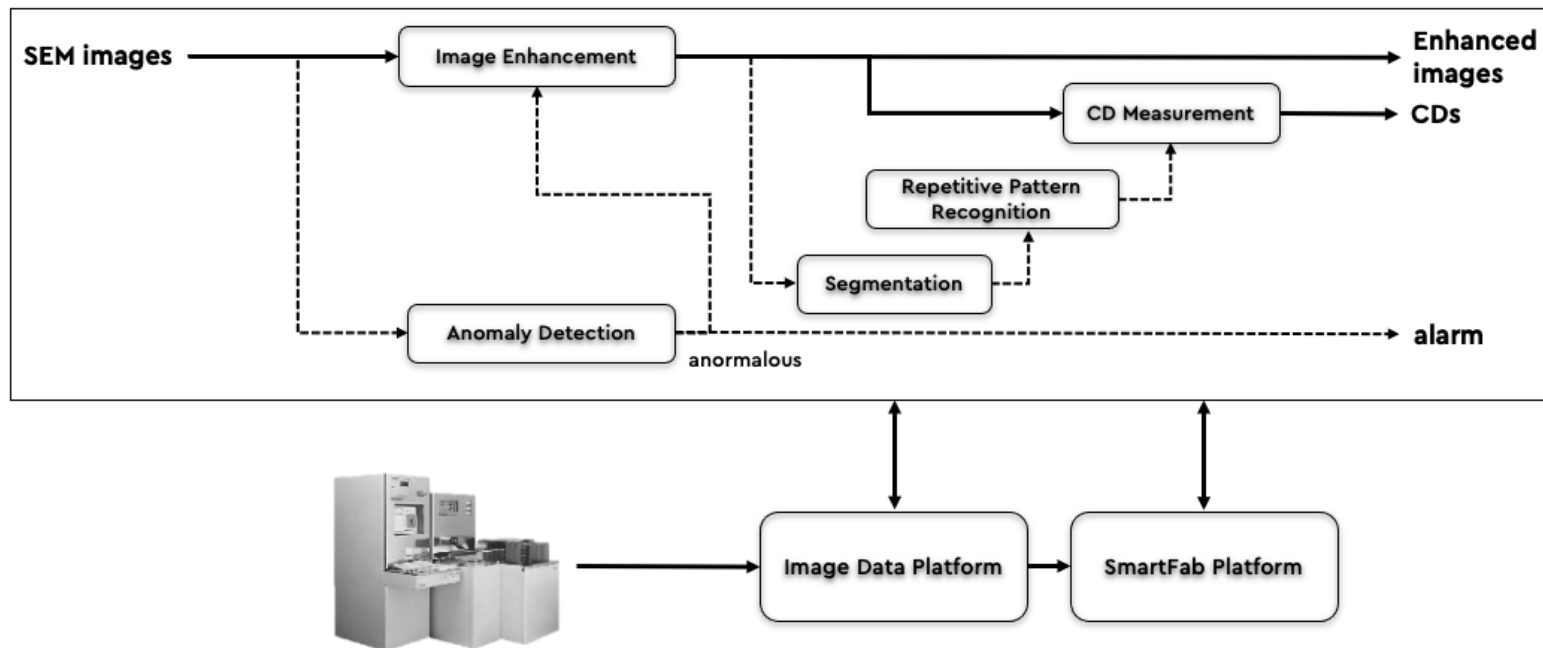
## Anomaly detection using side product

- representation in embedding space obtained as side product from previous processes
- distance from normal clusters used for anomaly detection
- can be used for yield drop prediction and analysis



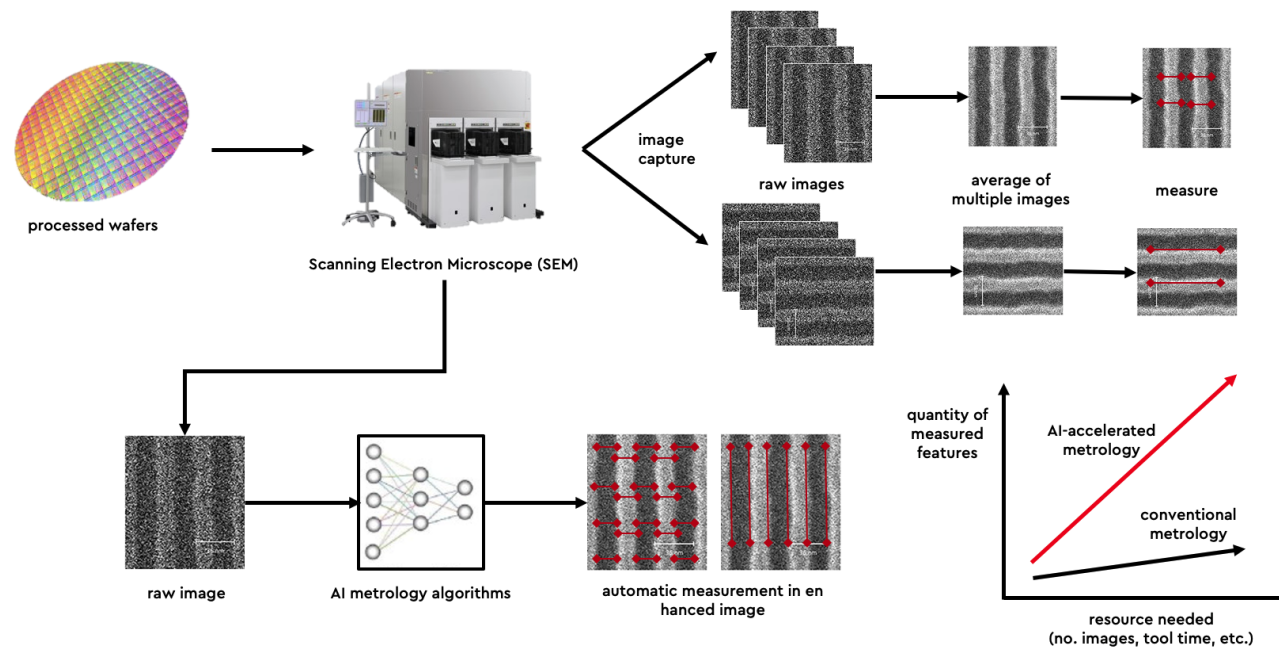
# AI-enabled metrology system

- integration of separate components creates AI-enabled metrology system



## Benefits of new system

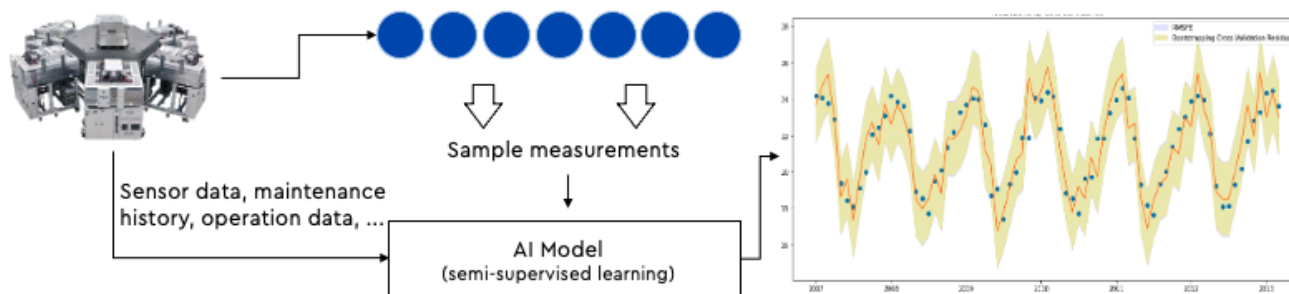
- new system provides
  - improved accuracy and reliability
  - improved throughput
  - savings on investment on measurement equipment



**TS ML in manAI**

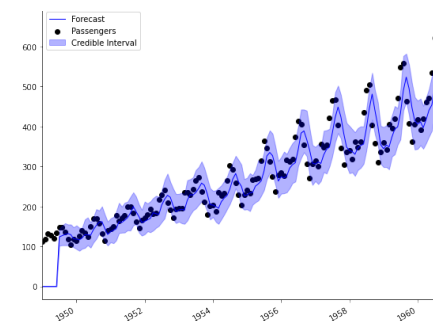
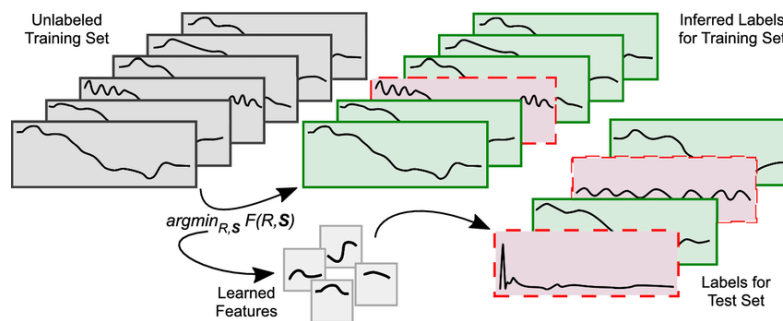
## Time-series ML applications in manAI

- estimation of TS values
  - virtual metrology - estimate measurement without physically measuring things
- anomaly detection on TS
  - predictive maintenance - predict maintenance times ahead
- multi-modal ML using LLM & genAI
  - root cause analysis and recommendation system



## TS MLs in manAI

- TS regression/prediction/estimation
  - LSTM, GRU, attention-based models, Transformer-based architecture for capturing long-term dependencies and patterns
- anomaly detection
  - isolation forest, autoencoders, one-class SVM
- TS regression providing credibility intervals
  - Bayesian-based approaches offering uncertainty estimation alongside predictions

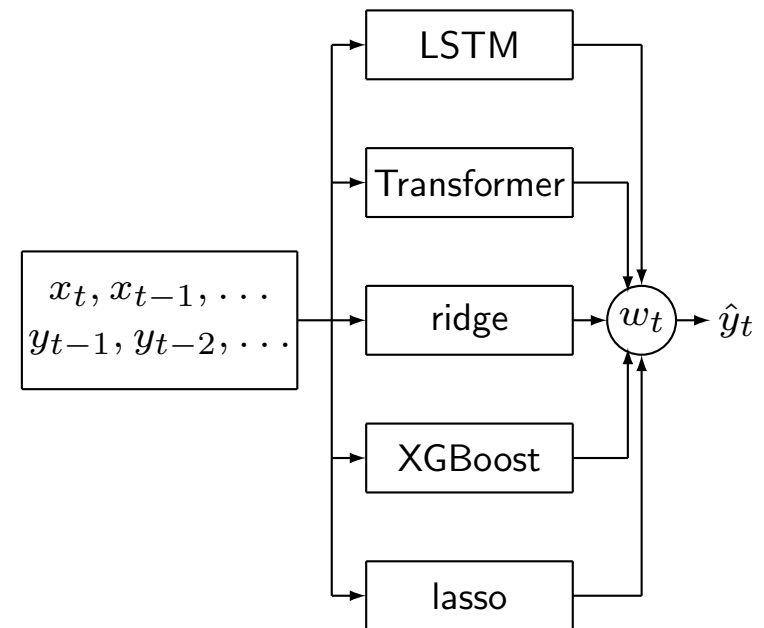


## Difficulties with TS ML

- no definition exists for general TS data
- data drift & shift
  - $p(\mathbf{x}_{t_k}, \mathbf{x}_{t_{k-1}}, \dots)$  changes over time
  - $p(y_{t_k} | \mathbf{x}_{t_k}, \mathbf{x}_{t_{k-1}}, \dots, y_{t_{k-1}}, y_{t_{k-2}}, \dots)$  changes over time
- (extremely) fat data, poor data quality, huge volume of data to process
- not many research results available
- none of algorithms in academic papers work / no off-the-shelf algorithms work

## Online learning for TS regression

- use multiple experts -  $f_{1,k}, \dots, f_{p_k,k}$  for each time step  $t = t_k$  where  $f_{i,k}$  can be any of following
  - seq2seq models (*e.g.*, LSTM, Transformer-based models)
  - non-DL statistical learning models (*e.g.*, online ridge regression)
- model predictor for  $t_k$ ,  $g_k : \mathbf{R}^n \rightarrow \mathbf{R}^m$  as weighted sum of experts



$$g_k = w_{1,k}f_{1,k} + w_{2,k}f_{2,k} + \dots + w_{p_k,k}f_{p_k,k} = \sum_{i=1}^{p_k} w_{i,k}f_{i,k}$$

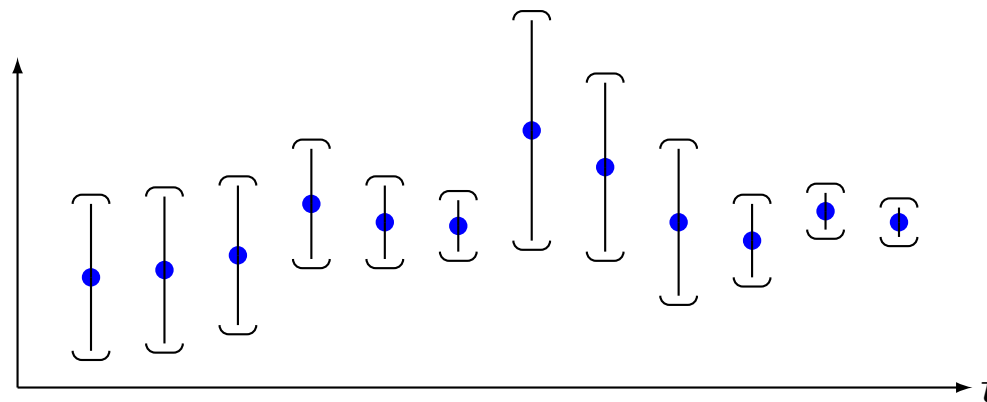


## Credibility intervals

- every point prediction is wrong, *i.e.*

$$\text{Prob}(\hat{y}_t = y_t) = 0$$

- reliability of prediction matters, however, *none* literature deals with this (properly)
- critical for our customers, *i.e.*, *such information is critical for downstream applications*
  - *e.g.*, when used for feedback control, need to know how reliable prediction results are
  - sometimes *more crucial than algorithm accuracy*



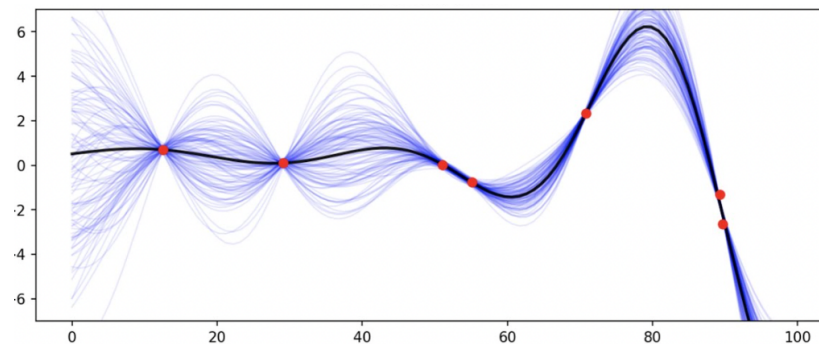
## Bayesian approach for credibility interval evaluation

- assume conditional distribution  $i$ th predictor parameterized by  $\theta_{i,k} \in \Theta$

$$p_{i,k}(y(t_k)|x_{t_k}, x_{t_{k-1}}, \dots, y(t_{k-1}), y(t_{k-2}), \dots) = p_{i,k}(y(t_k); x_{t_k}, \theta_{i,k})$$

- depends on prior & current input, *i.e.*,  $\theta_{i,k}$  &  $x_{t_k}$
- update  $\theta_{i,k+1}$  from  $\theta_{i,k}$  after observing true  $y(t_k)$  using Bayesian rule

$$p(w; \theta_{i,k+1}) := p(w|y(t_k); x_{t_k}, \theta_{i,k}) = \frac{p(y(t_k)|w, x_{t_k})p(w; \theta_{i,k})}{\int p(y(t_k)|w, x_{t_k})p(w; \theta_{i,k})dw}$$



# Virtual Metrology

# VM

- background
  - every process engineer wants to (so badly) measure every material processed - make sure process done as desired
    - *e.g.*, in semiconductor manufacturing, photolithography engineer wants to make sure diameter of holes or line spacing on wafers done correctly to satisfy specification for GPU or memory chips
  - however, various constraints prevent them from doing it, *e.g.*, in semiconductor manufacturing
    - measurement equipment requires investment
    - incur intolerable throughput
    - fab space does not allow
- GOAL - *measure every processed material without physically measuring them*

## VM - problem formulation

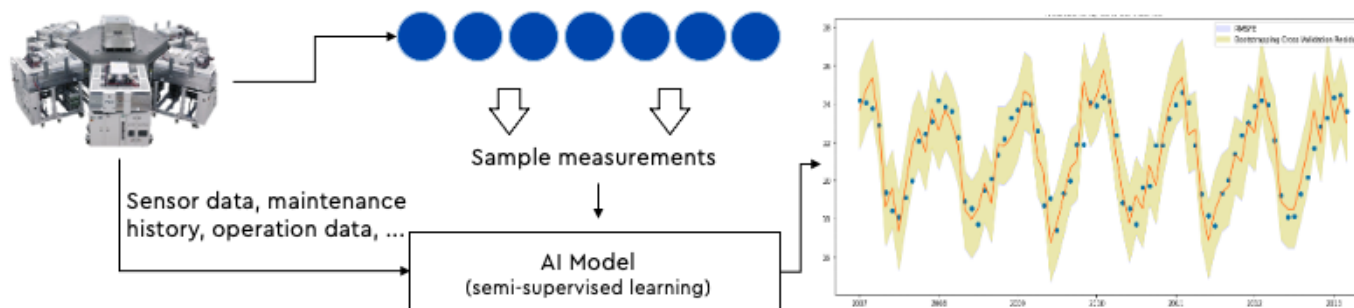
- problem description

(stochastically) predict  $y_{t_k}$   
 given  $x_{t_k}, x_{t_{k-1}}, \dots, y_{t_{k-1}}, y_{t_{k-2}}, \dots$

- our problem formulation

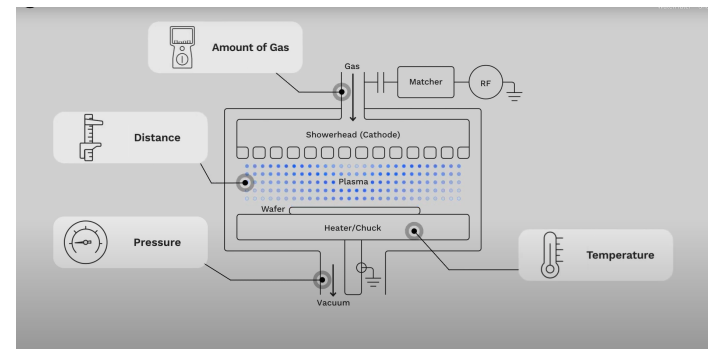
minimize  $\sum_{k=1}^K w_{k,K-k} l(y_{t_k}, \hat{y}_{t_k})$   
 subject to  $\hat{y}_{t_k} = g_k(x_{t_k}, x_{t_{k-1}}, \dots, y_{t_{k-1}}, y_{t_{k-2}}, \dots)$

where optimization variables -  $g_1, g_2, \dots : \mathcal{D} \rightarrow \mathbf{R}^m$



## VM - Gauss Labs' inAI success story

- Gauss Labs' ML solution & AI product
  - fully home-grown online TS adaptive ensemble learning method
  - outperform competitors and customer inhouse tools, *e.g.*, [Samsung](#), [Intel](#), [Lam Research](#)
  - published & patented in US, Europe, and Korea
- business impacts
  - improve process quality - reduction of process variation by tens of percents
  - (indirectly) contribute to better product quality and yield
  - Gauss Labs' main revenue source



# **Manufacturing AI Productionization**

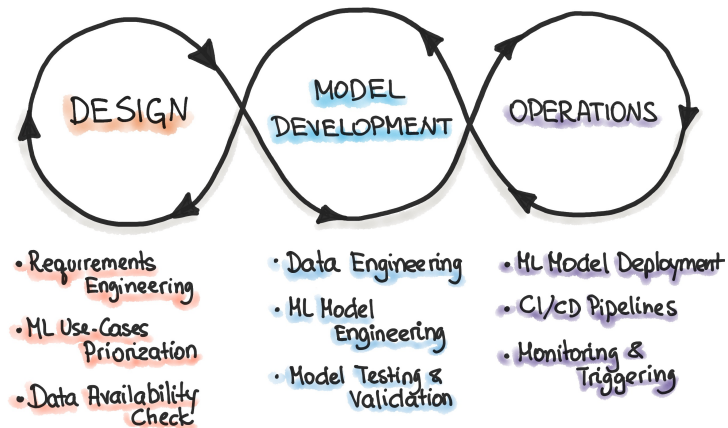
## Minimally required efforts for manAI

- MLOps - for CI/CD
- data preprocessing - missing values, inconsistent names, difference among different systems
- feature extraction & selection
- monitoring & retraining
- notification, via messengers or emails
- mainline merge approvals by humans
- data latency, data reliability, & data availability



## MLOps for manAI

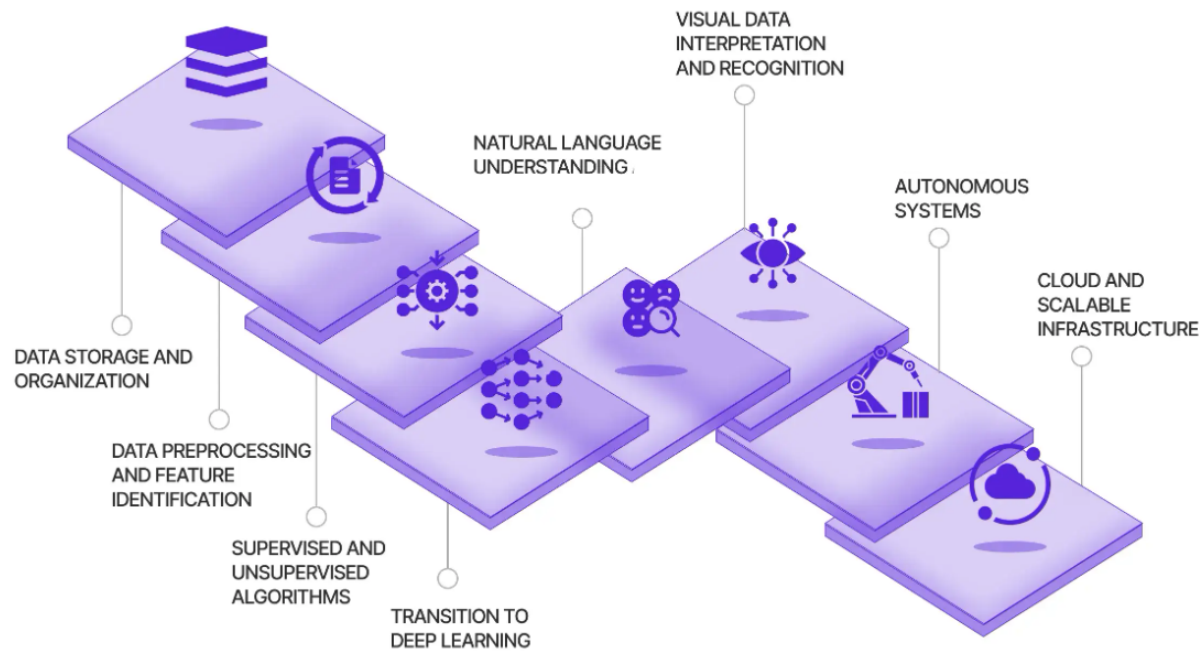
- environment for flexible and agile exploration - EDA<sup>6</sup>
- fast & efficient iteration of algorithm selection, experiments, & analysis
- correct training / validation / test data sets critical!
- seamless productionization from, *e.g.*, Jupyter notebook to production-ready code
- monitoring, *right* metrics, notification, re-training



<sup>6</sup>EDA - exploratory data analysis

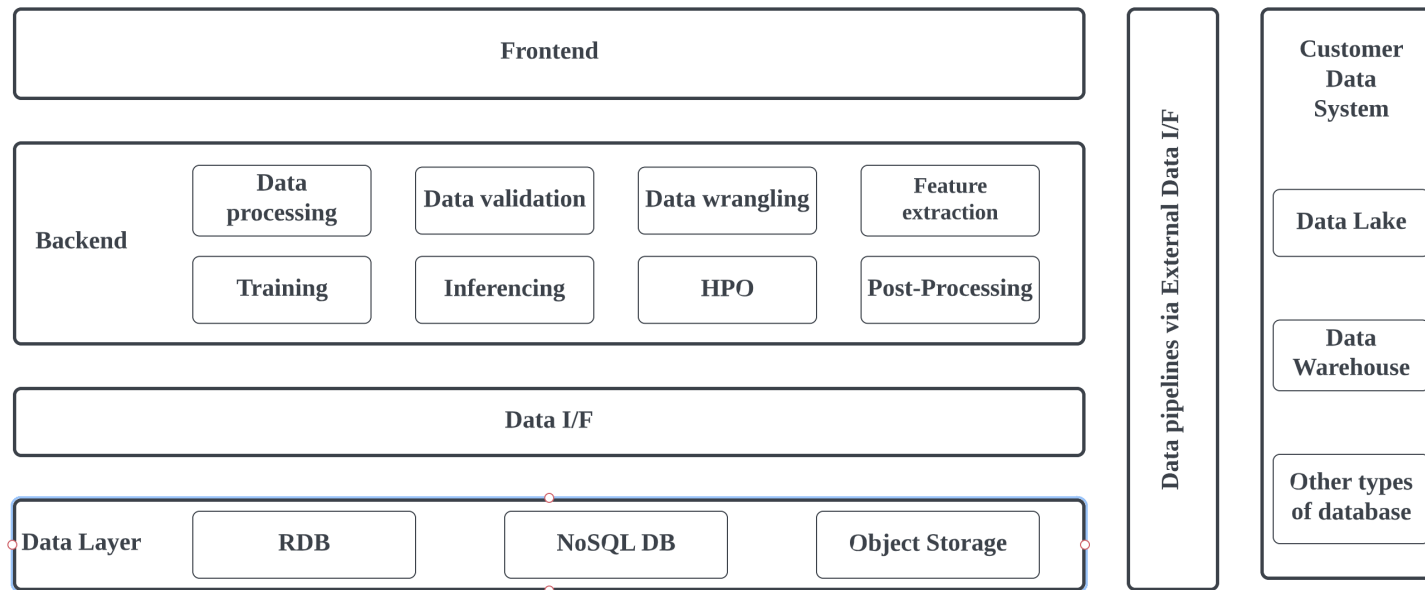
## manAI software system

- data, data, data! – store, persist, retrieve, data quality
- seamless pipeline for development, testing, running deployed services
- development environment should be built separately



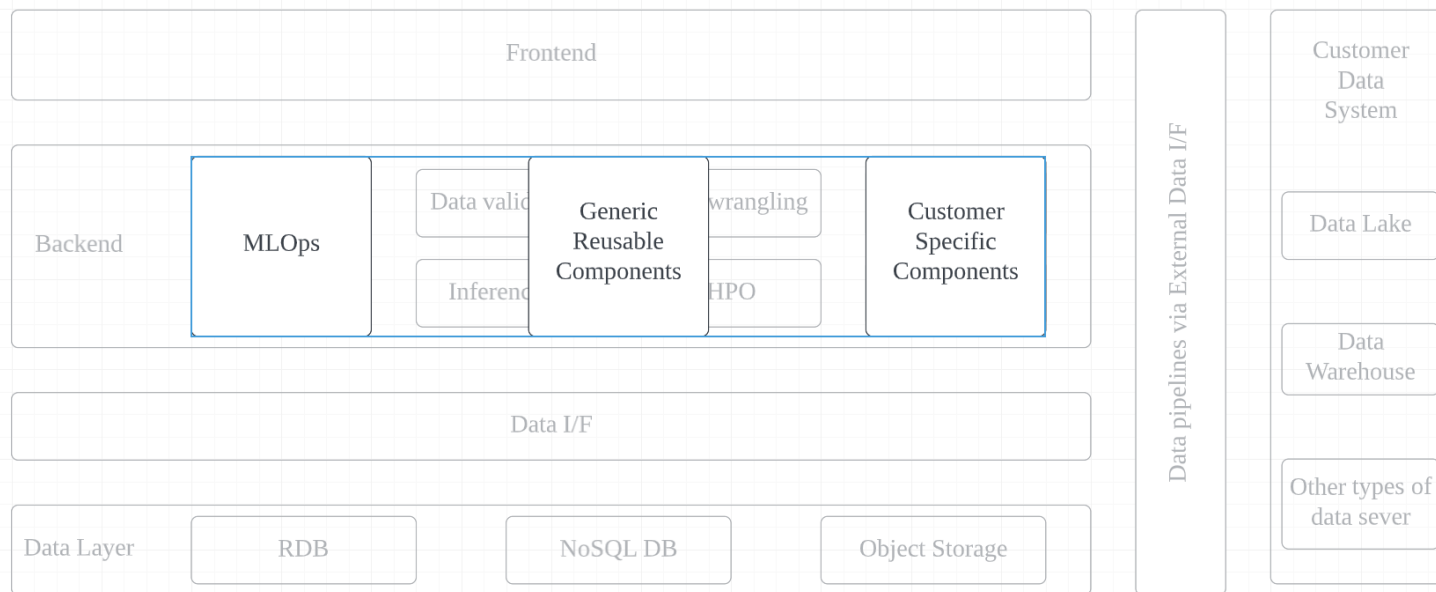
# manAI system architecture

- frontend / backend / data I/F / data layer
- efficient and effective MLOps in backend or development environment



## Reusable components vs customer specific components

- make sure to build two components separate - generic reusable and customer specific
- generic models should be tuned for each use case
- generic model library grows as interacting with more and more customers



**My Two Cents**

## Recommendations for maximum impact via inAI

- concrete goals of projects
  - north star – yield improvement, process quality, making engineers' lives easier
  - hard problem – scheduling and optimization
- be strategic!
  - learn from others – lots of successes & failures of inAI
  - ball park estimation for ROI critical – efforts, time, expertise, data
  - utilities vs technical excellency / uniqueness vs common technology
  - home-grown vs off-the-shelf

## Remember . . .

- data, data, data! – readiness, quality, procurement, pre-processing, DB
- *never* underestimate domain knowledge & expertise – data do NOT tell you everything
- EDA
- do *not* over-optimize your algorithms – ML is all about trials-&-errors
- overfitting, generalization, concept drift/shift - way more important than you could ever imagine
- devOps, MLOps, agile dev, software development & engineering

# Conclusion



## Conclusion

- various CV MLs used for inAI applications
- TS ML applications found in every place in manufacturing
- drift/shift & data noise make TS MLs very challenging, but working solutions found
- in reality, crucial bottlenecks are
  - data quality, preprocessing, monitoring, notification, and retraining
  - data latency, availability, and reliability
  - excellency in software platform design and development using cloud services

# Appendices

# **Serendipities around AIs**

## **Serendipity or inevitability?**

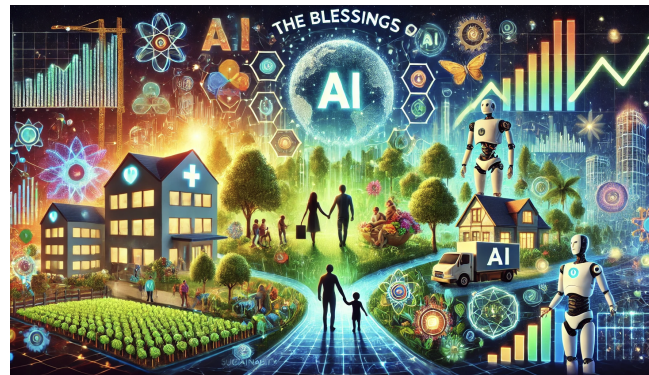
- What if Geoffrey Hinton had not been a persistent researcher?
- What if symbolists won AI race over connectionists?
- What if attention mechanism did not perform well?
- What if Transformer architecture did not perform super well?
- What if OpenAI had not been successful with ChatGPT in 2022?
- What if Jensen Huang had not been crazy about making hardware for professional gamers?
- Is it like Alexander Fleming's Penicillin?
- Or more like Inevitability?

**Empowering Humanity for Future  
Enriched by AI**

# **Blessings & Curses of AI**

## Blessings

- advancements in healthcare & improved quality of life
  - much faster & more accurate diagnosis, far superior personalized medicine, accelerated drug discovery, assistive technologies
- economic growth & efficiency
  - automation to increase productivity and reduce cost, far superior decision-making
- environmental solutions
  - climate change prediction, global warming effect mitigation, solutions for sustainability
- safety & security
  - natural disaster prediction & relief, cybersecurity



## Curses

- job displacement & overall impacts on labor market
  - millions of jobs threatened, wealth gap widened
- bias & inequality, misinformation & manipulation
  - existing human biases, both conscious and unconscious, perpetuated through AIs, asymmetric accessibility to advanced AI technologies by nations & corporations
- ethical dilemmas
  - infringing privacy & human rights, accountability for weapon uses and damages by AI
- environmental costs
  - significant energy for training AI models, waste generated by obsolescent AI hardware





# Salzburg Global Seminar

## KFAS-Salzburg Global Leadership Initiative

- “Uncertain Futures and Connections Reimagined: Connecting Technologies” - 41 global leaders convened from 4-Dec to 8-Dec, 2024 @ Schloss Leopoldskron in Salzburg, Austria
- My working group was “Technology, Growth, and Inequality: The Case of AI”
  - International Cooperation Officer (Portugal)
  - Gender Equality, Disability Inclusion Consultant, UN Women (Lithuania)
  - Assistant Professor @ Lincoln Alexander School of Law (Canada)
  - Research Associate @ Luxembourg Institute of Socio-Economic Research
  - Policy Officer & Delegation of the EU Union (India)
- blog: [Bridging Technology & Humanity - Reflections from Lyon, Salzburg, and München](#)



# KFAS-Salzburg Global Leadership Initiative

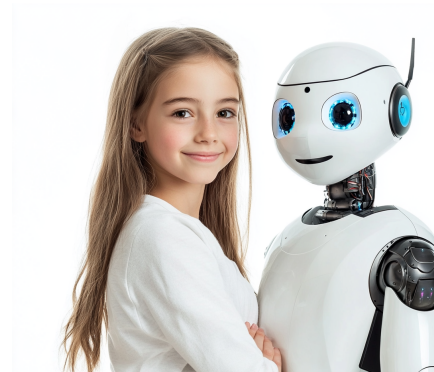
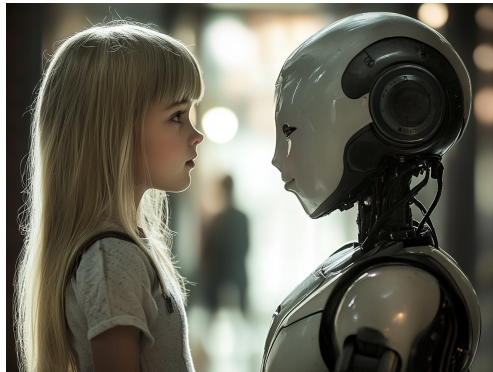
## Salzburg Global photo collections



**Empowering Humanity**

## AI capacity building - scientists, engineers & practitioners

- ethics and responsible AI education or campaign via interdisciplinary collaboration
  - foster continuous learning programs on AI risks, bias & societal impacts
- bias detection & mitigation
  - bias-detection tools to identify & reduce discrimination in data & models
  - regular fairness audits
- transparency & explainability
  - explainable AI (xAI) techniques, frameworks like Model Cards for transparency
- environmental impact awareness
  - reduce AI's carbon footprint, advocate for sustainable AI development practices



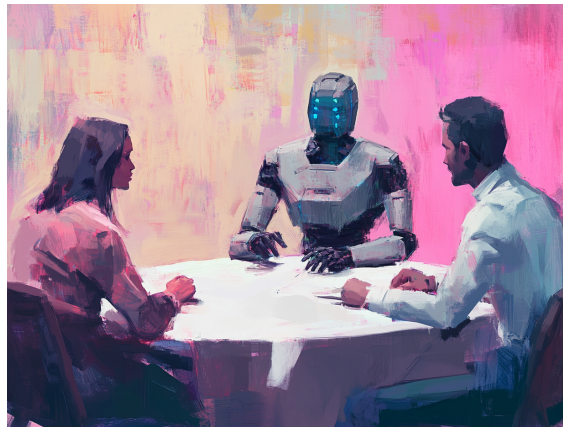
## AI capacity building - lawmakers & policy makers

- problems
  - difficulties in understanding of rapidly evolving AI technologies
  - lead to reactive or insufficient regulation
- proposed solutions
  - develop comprehensive regulatory frameworks addressing transparency, bias & privacy concerns
    - gender bias, racial bias, hallucinations
  - foster public debates on ethical AI use & societal implications
  - introduce policies to limit spread of AI-generated misinformation,



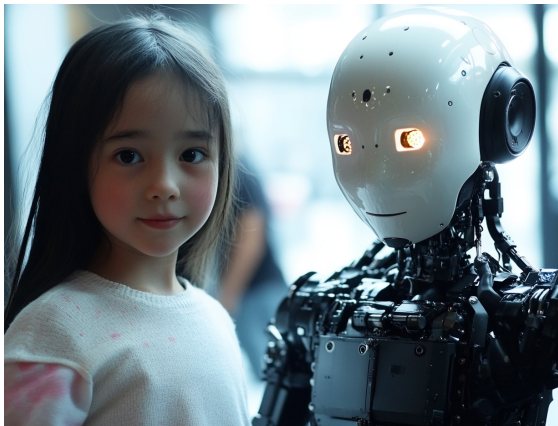
## Participatory social agreements

- open data frameworks including data sovereignty, regulation of data transfer, storage & localization
- corporate social responsibility, extra-territorial obligations & environmental protection
  - including outside the jurisdiction of the country
- labour and employment displacements, tax cuts & algorithmic impact assessments
  - including remedies for AI harms and enforcements



## Reclaiming technology for Humanity

- strategic approach to AI development
  - *leverage very technologies alienating humans to strengthen human connection*
  - transform automation from replacement to *enhancement of human capabilities*
  - leverage technological scale to address fundamental human needs
- *paradigm shift* in technological implementation
  - recognize the duality of advanced technologies
  - *systematically channel AI capabilities toward human-centric solutions*
  - convert technological challenges into opportunities for human advancement





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**Thank You**